

## Enhanced Biometric-based User Authentication Protocol Using Non-tamper Resistant Smart Cards

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### Abstract

*This paper reviews An's enhanced biometric-based user authentication protocol and shows that it is weak against the password guessing attack and has a problem of verification in the authentication phase. They are very important features to be secured to the user authentication protocol. Furthermore, this paper proposes an enhanced biometric-based user authentication protocol using non-tamper resistant smart cards to solve the problems in An's protocol. The overall security analyses show that the proposed protocol could achieve the desired security goals.*

**Keywords:** Security, Authentication, Biometric-based, Password, Non-temper resistant, Smart card.

### 1. Introduction

One of the fundamental problems in the areas of cryptography and communication security is authentication to enable two parties communicating over a public network to establish a high-entropy secret key from their low entropy passwords [1]. Many password based authentication protocols were designed to solve this problem and often showed the security vulnerabilities including password guessing attack, replay attack, insider attack, and many more attacks [2-8].

To solve the problems in the password based authentication, several biometrics-based authentication schemes have been designed [9-13]. There are some advantages of using biometrics as compared to the traditional passwords, which are biometric keys cannot be lost or forgotten, biometric keys are very difficult to copy or share, biometric keys are extremely hard to forge or distribute, biometric keys cannot be guessed easily, and someone's biometrics is not easy to break than others [13].

Kim *et al.* proposed an ID-based remote user authentication scheme based on biometrics [9]. They claimed that their scheme is secure against various attacks. However, Scott showed that Kim *et al.*'s scheme is weak against some attacks [14]. Quite recently, Das proposed an efficient biometrics-based remote user authentication scheme using smart cards and argued that his protocol is secure against the user impersonation attack, the server masquerading attack, the parallel session attack and the stolen password attack, and the scheme provides mutual authentication [12]. However, An showed that Das's scheme is weak against user impersonation attack, server masquerading attack, password guessing attack, and insider attack, and does not provide

mutual authentication. Furthermore, he provides a remedy scheme on Das's scheme [13].

This paper shows that An's protocol has weakness of the password guessing attack and has a lack of verification in the authentication phase. Furthermore, this paper proposes an enhanced biometric-based user authentication protocol with non-tamper resistant smart card, which could solve the overall problems in An's protocol. The overall security analyses show that the proposed protocol achieves the desired security goals.

## 2. Review of An's Protocol

This section provides a review of An's enhanced biometric-based user authentication protocol [13]. The protocol is divided into three phases: registration phase, login phase and authentication phase. Table 1 shows the notations used in this paper.

**Table 1. Notations**

Symbol	Description
$U_i$	The $i^{th}$ user
$S_i$	The remote server
$R_i$	The trusted registration center
$ID_i$	The identity of user $U_i$
$PW_i$	The password of user $U_i$
$B_i$	The biometric information of user $U_i$
$R_c, R_s, K, R_a, a, b$	The random numbers
$SK$	The session key between $U_i$ and $S$
$h(\cdot)$	The collision free one-way hash function
$\oplus$	The bitwise XOR operation
$\parallel$	The string concatenation operation

### 2.1. Registration Phase

Before logging to the remote server  $S_i$ , a user  $U_i$  initially has to register to the trusted registration center  $R_i$  as the following steps.

- (R1)  $U_i$  submits his (or her) identity  $ID_i$  and password information  $h(PW_i \oplus K)$  to  $R_i$  through a secure channel. Also  $U_i$  submits his (or her) biometrics information  $h(B_i \oplus K)$  via the specific device to  $R_i$ , where  $K$  is a random number generated by  $U_i$ .
- (R2)  $R_i$  computes  $f_i = h(B_i \oplus K)$ ,  $r_i = h(PW_i \oplus K) \oplus f_i$  and  $e_i = h(ID_i \parallel X_s) \oplus r_i$ , where  $X_s$  is a secret key generated by the server.
- (R3)  $R_i$  stores  $(ID_i, h(), f_i, e_i)$  on  $U_i$ 's smart card and sends it to  $U_i$  via a secure channel. And  $U_i$  stores the random number  $K$  into the smart card issued by  $R_i$ .

### 2.2. Login Phase

When  $U_i$  wants to login  $S_i$ ,  $U_i$  has to perform the following steps.

- (L1)  $U_i$  inserts his (or her) smart card into a card reader and inputs the biometrics information  $B_i$  on the specific device to verify user's biometrics. If the biometrics information  $h(B_i \oplus K)$  matches  $f_i$  stored in the smart card,  $U_i$  passes the verification.
- (L2)  $U_i$  inputs  $ID_i$  and  $PW_i$ , and then the smart card computes the following equations, where  $R_c$  is a random number generated by the smart card :

$$r_i' = h(PW_i \oplus K) \oplus f_i,$$

$$M_1 = e_i \oplus r_i',$$

$$M_2 = M_1 \oplus R_c,$$

$$M_3 = h(M_1 || R_c).$$

(L3)  $U_i$  sends the login request message  $\{ID_i, M_2, M_3\}$  to  $S_i$ .

### 2.3. Authentication Phase

After receiving the request login message,  $S_i$  has to perform the following steps with  $U_i$  to authenticate each other.

(A1)  $S_i$  checks the format of  $ID_i$ .

(A2) If  $ID_i$  is valid,  $S_i$  computes  $M_4 = h(ID_i || X_s)$  and  $M_5 = M_2 \oplus M_4$ .

(A3)  $S_i$  verifies whether  $M_3 = h(M_5)$  or not. If they are equal,  $S_i$  computes the following equations, where  $R_s$  is a random number generated by  $S_i$ :

$$M_6 = M_4 \oplus R_s,$$

$$M_7 = h(M_2 || M_5),$$

$$M_8 = h(R_s).$$

(A4) Then,  $S_i$  sends the message  $\{M_6, M_7, M_8\}$  to  $U_i$ .

(A5) After receiving the message,  $U_i$  verifies whether  $M_7 = h(M_2 || R_c)$  or not. If they are equal,  $U_i$  computes  $M_9 = M_6 \oplus M_1$ .

(A6)  $U_i$  verifies whether  $M_8 = h(M_9)$  or not. If they are equal,  $U_i$  computes  $M_{10} = h(M_6 || M_9)$ .

(A7) Then,  $U_i$  sends the message  $\{M_{10}\}$  to  $S_i$ .

(A8) After receiving the message,  $S_i$  verifies whether  $M_{10} = h(M_6 || R_s)$  or not. If they are equal,  $S_i$  accepts  $U_i$ 's login request.

## 3. Security Analysis of An's Protocol

This section shows that An's protocol is weak against password guessing attack. Furthermore, An's protocol has a problem of verification in the authentication phase. To provide the security analyses of An's protocol, we assumed that attacker could steal and read the smart card, and could control the insecure channel completely since the messages from the legal user are sent to the server through an insecure channel [15-16].

### 3.1. Password Guessing Attack with Lost Smart Card

This sub-section shows that An's protocol is vulnerable to the password guessing attack with smart cards. Knowing the password is very important in An's protocol to form a legalized login message. Password guessing attack with lost smart card considers an additional assumption to the password guessing attack that attacker has more power to get user's smart card.

With the assumption, first of all, an attacker steals the user's smart card and reads the memory on it. The attacker chooses a new password candidate  $PW_i'$  from dictionary. Using the known values  $ID_i$ ,  $h()$ ,  $f_i$ ,  $e_i$  and  $K$  from the smart card and  $M_2$  and  $M_3$  from the intercepted messages  $\{ID_i, M_2, M_3\}$ ,  $\{M_6, M_7, M_8\}$  and  $\{M_{10}\}$ , the attacker computes  $M_1' = e_i \oplus h(PW_i' \oplus K) \oplus f_i$  and  $R_c' = M_1' \oplus M_2$ . Finally the attacker verifies if  $h(M_1' || R_c')$  is equal to  $M_3$  on the intercepted message. If they are equal, the attacker could make sure that his/her guess is right. Otherwise, the attacker repeats the whole guessing process

again and again until the correct one come out. Thereby, An's protocol is weak against password guessing attack.

### 3.2. Problem of Verification

An's protocol does not provide a proper authentication to the registered user due to the A3 step in the authentication phase.  $S_i$  validates legal user by verifying the equivalence between the computed  $h(M_5)$  and the received  $M_3$ . However, the validation always does not hold because  $h(M_5)=h(M_2\oplus M_4)=h(e_i\oplus r_i'\oplus R_c\oplus h(ID_i||X_s))=h(R_c)$  and  $M_3=h(M_1||R_c)=h(e_i\oplus r_i'||R_c)=h(h(ID_i||X_s)||R_c)$ . It means that  $S_i$  need to check the equivalent of  $h(R_c)$  and  $h(h(ID_i||X_s)||R_c)$  to check the authenticity of the user, which does not hold in any cases due to the parameters difference. Thereby,  $h(M_5)$  needs to be changed with  $h(M_4||M_5)=h(h(ID_i||X_s)||R_c)$  for the proper verification of legal users.

## 4. Enhanced Biometric-Based User Authentication Protocol

This section proposes an enhanced biometric-based user authentication protocol with non-tamper resistant smart cards to solve the weaknesses in An's protocol. The proposed protocol is divided into three phases: registration phase, login phase and authentication phase.

### 4.1. Registration Phase

When a user  $U_i$  wants to subscribe services from  $S_i$ ,  $U_i$  needs to perform the following steps.

- (R1)  $U_i$  selects a random number  $R_A$ , inputs  $U_i$ 's identity  $ID_i$ , password  $PW_i$  and biometrics  $B_i$ , computes  $DPW_i=h(PW_i||R_A)$  and  $f_i=h(B_i||R_A)$  and submits  $\{ ID_i, DPW_i, f_i \}$  to the registration server  $R_i$  through a secure channel.
- (R2)  $R_i$  computes  $r_i=DPW_i\oplus f_i$  and  $e_i=h(ID_i||x)\oplus r_i$ , where  $x$  is a secret key generated and kept by  $R_i$ .  $R_i$  issues a smart card by storing  $\{ h(), f_i, e_i \}$  to  $U_i$ .
- (R3) After receiving the smart card,  $U_i$  computes  $DR_A=R_A\oplus ID_i\oplus PW_i$  and stores it into the smart card.

### 4.2. Login Phase

When  $U_i$  wants to login the remote server  $S_i$ ,  $U_i$  has to perform the following steps.

- (L1)  $U_i$  inserts his (or her) smart card into a card reader and inputs  $ID_i'$ ,  $PW_i'$  and  $B_i'$ , and computes  $R_A'=DR_A\oplus ID_i'\oplus PW_i'$  and  $h(B_i'||R_A')$ . If  $h(B_i'||R_A')$  matches with  $f_i$  stored in the smart card,  $U_i$  is authenticated by the smart card.
- (L2) Only if the smart card verifies  $U_i$  successfully, it generates a random number  $a$  and computes  $r_i'=h(PW_i'||R_A')\oplus f_i$ ,  $Y'=e_i\oplus r_i'$ ,  $M_1=Y'\oplus a$ , and  $MAC_1=h(Y'||ID_i||h(a))$ .
- (L3)  $U_i$  sends the login request  $\{ ID_i, M_1, MAC_1 \}$  to  $S_i$ .

### 4.3. Authentication Phase

After receiving the login request,  $S_i$  has to perform the following steps.

- (A1)  $S_i$  computes  $Y^*=h(ID_i||x)$  and  $a^*=M_1\oplus Y^*$ . After that,  $S_i$  checks the validity of  $MAC_1$  by comparing it with  $S_i$ 's computation of  $h(Y^*||ID_i||h(a^*))$ . If the verification is successful,  $S_i$  chooses a random number  $b$  and computes  $SK=h(Y^*||h(a^*)||h(b))$ ,

- $M_2=Y^*\oplus b$  and  $MAC_2=h(SK||h(a^*)||h(b))$  and sends the reply message  $\{ M_2, MAC_2 \}$  to  $U_i$ .
- (A2) After receiving the message,  $U_i$  computes  $b'=M_2\oplus Y'$  and  $SK'=h(Y||h(a)||h(b'))$  and checks the validity of  $MAC_2$  by comparing it with  $U_i$ 's computation of  $h(SK'||h(a)||h(b'))$ . If the verification is successful,  $U_i$  authenticates  $S_i$  and believes that the session key is agreed with  $S_i$  safely.

## 5. Security Analysis

This section provides security analysis for the proposed protocol with the concern of non-tamper resistant smart cards. The proposed protocol is secure against various attacks and provides mutual authentication.

### 5.1. User Impersonation Attack

To impersonate as the legitimate user, an attacker attempts to make a forged login request which could pass the authentication check by the server. However the attacker cannot impersonate as the legitimate user by forging the login request message in the proposed protocol even if the attacker can extract the secret information  $\{ h(), f_i, e_i, DR_A \}$  stored in the user's smart card. It is mainly because the attacker cannot compute a correct new login request message  $\{ ID_i, M_1, MAC_1 \}$  without computing the proper  $MAC_1$  due to the lack of knowledge on  $Y=h(ID_i||x)$ , which is secured based on the one-way hash function. Hence, the attacker has no chance to form a proper login request to be authenticated by the server in the proposed protocol and thereby it is secure against the user impersonation attack.

### 5.2. Server Masquerading Attack

To masquerade as the legitimate server, an attacker attempts to make a forged reply message, which can be masqueraded as a legal server to the user. However the attacker cannot masquerade as the server by forging the reply message, because the attacker cannot compute the proper  $MAC_2$ , which needs to compute  $SK$ , due to the lack of knowledge on  $h(a)$  and  $Y=h(ID_i||x)$ . Hence, the attacker cannot masquerade as the legitimate server to the user by launching the server masquerading attack.

### 5.3. Password Guessing Attack

After the attacker extracts the secret information  $\{ h(), f_i, e_i, DR_A \}$  stored in the user's smart card under the assumption, the attacker attempts to derive a proper password  $PW_i$  of the user. To perform the attack, the attacker needs to know  $R_A$  first from  $DR_A$ . However, it is infeasible to the attacker due to the lack of knowledge on  $ID_i$  and  $PW_i$ . Even if the attacker could know  $ID_i$  from the previous intercepted message, the attacker could not know any information related with  $PW_i$  due to the one way hash function. Thereby, the proposed protocol could cope from the password guessing attack even with the assumption of the usage of non-tamper resistant smart card.

### 5.4. Insider Attack

In the registration phase, if the user's password  $PW_i$  and the biometrics information  $B_i$  are revealed to the server, the insider of the server may directly obtain them and impersonate as the user to access user's other accounts in other servers. However, the proposed protocol is secure against this attack because the user uses the amplified

information  $h(PW_i||R_A)$  and  $h(B_i||R_A)$  instead of the real information  $PW_i$  and  $B_i$ , respectively.

## 5.5. Mutual Authentication

As described in the previous sub-sections of user impersonation attack and server masquerading attack, the proposed protocol can withstand the user impersonation attack and the server masquerading attack, consequently the proposed protocol provides mutual authentication between the user and the server. Namely, even if the attacker can extract the secret information  $\{ h(), f_i, e_i, DR_A \}$  stored in the user's smart card, only the legal user can be authenticated to the server but not the attacker. Since the attacker cannot make any legal login request  $\{ ID_i, M_1, MAC_1 \}$  and any legal reply message  $\{ M_2, MAC_2 \}$  without knowing  $PW_i$ ,  $h(ID_i||x)$  and the parameters to compute  $MAC_1$  and  $MAC_2$ . Thereby, the proposed protocol provides mutual authentication between the user and the server.

## 6. Conclusion

This paper had shown that An's protocol has weak against the password guessing attack and has a verification problem in the authentication phase. For the password guessing attack, it is assumed that attacker could steal a legal user's smart card and read the memory on it. Furthermore, we proposed a remedy protocol to solve the problems in An's protocol, which uses non-tamper resistant smart cards as the reasonable assumption with smart cards. The security analysis shows that the proposed protocol has good aspects in the security aspects.

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