

## Security Enhancement Scheme supporting range queries on encrypted DB for Secure e-Navigation Era

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### Abstract

*The Maritime Cloud is the term used to describe the concept of an infrastructure that support authorized, seamless information transfer, as requested by the IMO e-navigation strategy, and as derived from testbeds focused on e-navigation. In this paper, we proposed Bucket ID Transformation that is a new encryption mechanism and the scheme can range search without order-preserving. Bucket ID Transformation is performed by recursive HMAC as many as a value of Bucket ID.*

**Keywords:** *Cloud, E-navigation, IMO, Secure Maritime, e-Nav.*

### 1. Introduction

The IMO e-navigation strategy has requested a communication infrastructure providing authorized seamless information transfer between stakeholders. The Maritime Cloud is the term used to describe the concept of an infrastructure that support authorized, seamless information transfer, as requested by the IMO e-navigation strategy, and as derived from testbeds focused on e-navigation. The Maritime Cloud concept is similar to the maritime infrastructure framework, adding those elements, that are necessary to support the e-navigation domain. A limited testbed version of the Maritime Cloud concept exists, which has so far demonstrated interoperable information exchange between systems developed by different e-navigation testbed projects in Northern Europe and Korea. Based on the experience of several e-navigation test bed projects in Europe (EfficienSea, MonaLisa, and ACCSEAS) as well as projects in Korea and Japan, the concept of the Maritime Cloud has been developed into an open source functional prototype.

When traditional encryption algorithm apply to the database, efficiency decline problem was occurred because order of encoded data is not equal to order of plaintext. To overcome this limit, Haciquimus proposed bucket based index[6] that can bring performance improvement for queries over encrypted data. besides, Order-Preserving Encryption scheme that is possible range queries over encrypted data without decryption was proposed by Sun[8], Agrawal[1], *Etc.* But, Encrypted data by Order-Preserving Encryption Scheme was exposed order of plaintext, As a result, the scheme cannot secure against inference attack. Especially, the scheme cannot used for rank scale. On the other hand, Damiani proposed hash-based indexing method that can prevent frequency-based inference attack. This scheme encourage use of collided hash function for protecting inference attack. But, the method has problem in search of range data using reference by index. Moreover, overhead problem was exist because the method must bring other tuple that have equal hash column when search only one tuple.

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In same paper, Damiani proposed auxiliary b+-tree method. The method can range search over encrypted data and can prevent frequency-based inference attack because each tuple has different encrypted data by whole-tuple-encryption. But, the method has problem that can't search by one-time range query transaction. That is, this method needs  $d+n$  times of queries for range search. ( $d$ : tuples in range,  $n$  : nodes of tree) Finally, the method couldn't solve overhead problem. Use of order-preserving function is desirable for efficiency. On the other side, obviously, order-preserving function cannot prevent the inference attack. Therefore, it needed stabilize trade-off to solve the problem.

In this paper, we proposed Bucket ID Transformation that is a new encryption mechanism and the scheme can range search without order-preserving. Bucket ID Transformation is performed by recursive HMAC as many as a value of Bucket ID. The proposed method, whose order is not exposed, has a more enhanced security than Sun and Agrawal and is also more efficient compared to Damiani's method as it can recover the original value by transmitting queries times ( $q$ : bucket size,  $d$ : number of transmitted queries of damiani,  $n$ : number of nodes) to the database.

## 2. Related Works

### 2.1. Based Index [6]

Hacigumus *et al.*[6] proposed the technique that queries encrypted data. This is based on the definition of the number of buckets in the attribute area. Let's assume that  $r_i$  is the plaintext relation with schema  $R_i(A_{i1}, A_{i2}, \dots, A_{in})$  and  $r_{ki}$  is the corresponding encrypted relation in  $R_{ki}(\text{Counter}, \text{Etuple})$ . When a plaintext attribute  $A_{ij}$  exists in  $R_i$  where a domain is  $D_{ij}$ , the bucket-based indexing technique can divide  $D_{ij}$  without overlapping it. This is called "bucket". A bucket has a continual value.

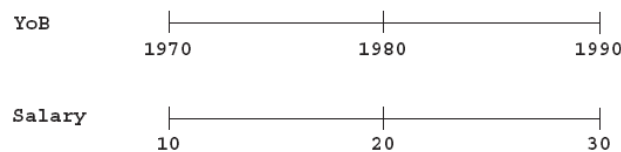


Figure 1. Bucketization Example

This procedure called "bucketing". The buckets are always created with same size. Each bucket is connected with a unique value and this value is a domain for connection between  $I_j$  and  $A_{ij}$ . If a plaintext tuple  $t$  is given in  $r_i$ , the value of attribute  $A_{ij}$  for  $t$  should belong to a bucket. This is very important in keeping data confidentiality.

### 2.2. B+Tree[2][3]

An untrusted DMBS can find encrypted data only and any B+-Tree defined on the index doesn't reflect the order of plaintext. This, in effect, makes the range search impossible. To overcome this problem, we can entrust a trusted front-end with the decision on B+-Tree information. This paper proposes encrypting the whole B+-Tree node. The original B+-Tree is represented as two attributes (Node ID and encrypted value) in an untrusted DBMS.

### 2.3. Anti-Tamper Database : Open Form Encryption[8], Order-Preserving Encryption For Numeric Data (OPES)[1]

If this is expressed in a formula;  $E(n) = \sum_{i=1}^n (Z_i + P_i)$ . For numeric data, it may be a serious problem, if the attacker can get a value close to plaintext  $p$  corresponding to encrypted text  $c$ , though he doesn't know  $p$  exactly. In other words, in ordinary Order-Preserving Mechanism, if the distribution is known, the plaintext can be inferred. Since this paper considers the ciphertext only attack only, the proposed mechanism is secure from estimation exposure. However, when using this method, the order is exposed due to the nature of Order-Preserving. The  $p$  value can be inferred by designating a certain location. The fact that order is kept means consequently that the order is known and this means that some of information is exposed. Therefore this paper proposes an encryption mechanism that allows range query without keeping the order.

## 3. The Proposed Mechanism

### 3.1. Notations

Notations that are used in this section is as following :

$m$  : Plaintext,  $r$  : residue of plaintext,  $I_B$  : Bucket ID,  $S_B$  : Size of Bucket,  $T(x)$  : Transform execution result of  $x$ ,  $IT(x)$  : Inverse Transform execution result of  $x$ ,  $T(I_B)^K$  : Encrypted Bucket ID,  $T(r)^{IB||K}$  : Encrypted residue (Ciphertext),  $K$  : Key of Keyed-HMAC

### 3.2 Overview of Our Scheme

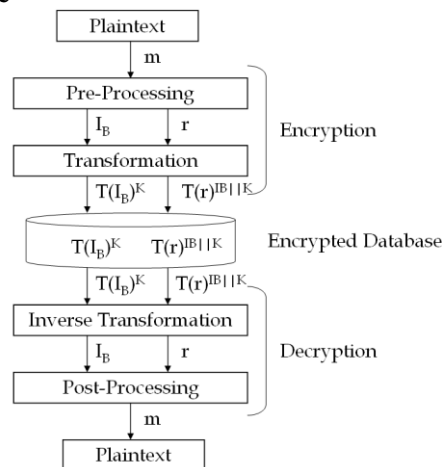


Figure 2. Illustrating Our Scheme

In our mechanism, encryption and decryption procedure are constructed each two stage.

#### (1) Encryption process

- Pre-Processing: Pre-process stage for plaintext  $m$ , extract  $m$  in two integer and calculate bucket threshold in this stage.
- Transformation : Integer  $I_B$ ,  $r$  is transform into hashed value that can not recognize by attacker.

#### (2) Decryption process

- Inverse Transformation: Calculate set of  $I_B$ ,  $r$  from each set of  $T(I_B)^K$  and  $T(r)^{IB||K}$ .
- Post-Processing : Calculate plaintext  $m$  based on  $I_B$ ,  $r$ .
- According to these each two stage, authorized database manager can encrypt plaintext and decrypt ciphertext securely.

### 3.3 Encryption Process

#### (1) Pre-Processing

##### 1) Scaling of Plaintext

$m_s = m *$  There are 4 scales; nominal scale, ordinal scale, interval scale and ratio scale. The nominal scale is a scale that we cannot define the order and the scope of this paper is confined to ordinal scale, interval scale and ratio scale. This process executes integarization as follows. If it is ordinal scale and the ordinal value is rank,  $m_s$  equals rank. If it is interval or ratio scale,  $m_s$  equals  $m$  for the column that treats integers while  $m_s$  equals  $m *$  for the column that allows decimals.

##### 2) Modulo Arithmetic

All integers has quotient  $I_B$  and residue  $r$  by dividing arbitrary given  $S_B$ . Computational procedure is as following :

Calculate residue value  $r$  by next expression.

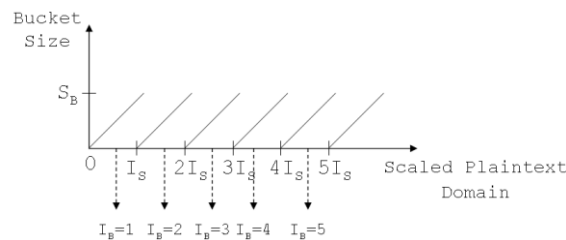
$$r \equiv m_s \text{ mod } S_B$$

$I_B$  is calculated by next expression.

$$I_B = \frac{m_s - r}{S_B}$$

Relation of  $I_B$  and  $r$  about  $m_s$ , is as following :

$$0 \leq r \leq S_B$$



**Figure 3. Encryption Process**

Accroding to incresement of  $m_s$ , increse  $I_B$  too. and  $r$  value is not over then the value of  $S_B$  because  $r$  is created by modulo arithmetic. We consider  $I_B$  as bucket ID that has bucket size  $S_B$  because the plaintext  $m_s$  was segmentd equally within  $S_B$  size.

#### (2) Transformation

##### 1) Decision of Bucket Threshold

In this stage, We consider processing of decision of bucket threshold. The reason that calculating of bucket threshold is to be quantity of each bucket are approximate uniform. By threshold of bucket quantity, the number of point of each bucket is not over than threshold value. As a result, Bucket-based Frequency inference attack is very hard.

Suppose The number of bucket on domain is  $m$  and full amount of point is 1, Bucket threshold is defined as following:

$$\text{Bucket Threshold} = \frac{\sum_{i=1}^m p_i}{m}$$

By bucket threshold value, bucket size is not over than threshold value. This characteristic makes hard the inference attack. As shown Figure.1, Bucket 3 is splitted because excess of threshold value. Splitted buckets have each other hash values. If does not consider the bucket threshold in stage of pre-processing, the attacker can inference bucket 3 from collected bucket frequency very easily. Through dividing the bucket by threshold, the attacker can't perform frequency based inference attack.

### 1) Transformation Process

In transformation stage, transformed value  $T(IB)$  and  $T(r)$  is calculated from recursive HMAC of the number of times of  $IB$  and  $r$ . That is, Integer  $IB$  or  $r$  is equal to the number of times of performing of recursive hashing. Numeric seed  $s$  is given beforehand value. Here,  $K$  is a symmetric key and must keep secret.

### 2) Key Generation by Contiguation

In the process of transformation of  $r$ , set the key  $K$  is  $IB||K$ . That means, If two of  $r$  are located within same bucket, transformation key are identical. But, location of each  $r$  are different buckets, the key of each  $r$  are different too. It can represent  $T(IB)K$  and  $T(r)IB||K$ . The reason of different key assignment in each other bucket is protection of inferencing for  $SB$ . That is, If every  $r$  have equal key,  $T(r)$  may repeat by  $SB$  cycle. This characteristic can occur the problem that exposure of  $SB$ . In case of an attacker try known-plaintext attack, the attacker can inference range of  $r$  that has identical bucket id. This problem can be solved by different key assignment for each bucket.

As a result, encrypted data is  $\{T(IB)K, T(r)IB||K\}$ . Database manager can insert these encrypted data in the database securely. For example, Suppose transformed  $IB$  values of attribute of Bob, James and Alice is named  $IBB, IBJ$  and  $IBA$ , The attributes are insert as following :

Name	Salary
Bob	4835
James	3527
Alice	7723

↓

Name	$T(x)^K$	$T(x)^{IB  K}$
Bob	YwaVIQ+XW7Q3w4LK+E9Rms=	Iai6yp4lQ3nMyJhuyAyz1JhJru4=
James	+Lo7YRIkticR1nXTzfLD6TnjQo=	FWeAid+ewLO/sncEJSDfcDkoQ=
Alice	gtS0izJW9Xngir5CJ6ZkkehIMi0=	VCpg8E/wPsznO4NWGSblC6Bw=

**Figure 4. Encryption Data**

Here, Who has  $K$  can decrypt original plaintext  $m$ . on the other hand,  $T(IB)K$  and  $T(r)IB||K$  are not preserve order, this characteristic can make robust and reliable protection from inference attack.

### 3.4 Decryption Process

Input of inverse transformation is a pair of set of  $T(IB)$  and  $T(r)$ . the reason that the input has set of transform values is efficiency of inverse transformation. Input from set is more efficient better than individual processing. Input from set of  $T(IB)$ s and  $T(r)$ s are need procedure of only two times. Note that  $K$  is substitute  $IB||K$  when transforming  $r$ . According to above procedure,  $IB$  and  $r$  can be calculated. After this, authorized user can be decrypt original plaintext from scaled plaintext  $ms$ .

### 3.5 Example

Suppose plaintext is 4835 and a key is 624.  $ms$  is equal to plaintext 4835 because original plaintext is integer. In stage of modular arithmetic, if suppose that  $SB$  is 100,  $4835 \bmod 100$  is equal to 35. That is, the value of  $r$  is 35. And  $IB$  value can calculate by  $\frac{4835 - 35}{100} = 48$ . In transformin stage,  $T(48)624$  and  $T(35)48||624$  are calculated by performing HMAC recursively as  $IB$  value 48 and  $r$  value 35. Finally, database manager

can get encrypted result that are  $T(IB)K=YwaVI Q+XW7Q3w4LKV7+go+E9Rms=$  and  $T(r)IB||K=iai6yp4lQ3nMyjhiyA yz1j hjru4=$ . Now, the database contains these encrypted values. Therefore, the attacker cannot inference the original plaintext from encrypted data  $T(IB)K$  and  $T(r)IB||K$  because the encrypted values are just look like insignificant hashed values.

#### 4. Analysis

Our Scheme Proposed mechanism can prevent frequency and order based inference attack because the mechanism is not preserve order. In addition, our mechanism can perform range query and aggregation queries(MIN,MAX,COUNT) over encrypted data. On the other hand, even if insert or update transactions are much repeat, plaintext decrypting is not needed. In case of range search, number of queries are greatly reduced better than Damiani's method. A comparison table is as following :

**Table 1. Comparison Table**

	Equality Query	Range Query	Updating	Prevention of Order Exposure
Bucketing[6]	○	Δ	○	Δ
B+-Tree[2][3]	○	○	×	○
Hash Based[2][3]	○	×	○	○
OPES[1]	○	○	○	×
Anti-Tamper[8]	○	○	○	×
Our Scheme	○	○	○	○

(○ : Supported, Δ : semi-supported, × : not supported)

#### 5. Conclusion

To support the e-navigation strategy for global maritime transport, the Maritime Cloud offers a Maritime Identity concept for all participating stakeholders in a common framework. Information services for e-navigation will be published in a dynamic registry, available for discovery by relevant stakeholders. An opportunity for placing the Maritime Cloud Client Component (or Service Agent) into the ships bridge architecture exists in the ongoing standardization processes. While a window of opportunity for initializing the establishment of the Maritime Cloud exists, a governing structure, business model and operational environment with related evolutionary processes for cooperation amongst many different projects and stakeholders will have to be established for the Maritime Cloud (or maritime infrastructure framework) to ensure long term sustainability.

The security for database needs a separate consideration in addition to traditional cytological security. Especially, since various attacks such as inference attack, query execution attack or known-plaintext attack are possible according to the nature of database, an encryption mechanism suitable for database environment is required. This paper proposes a new encryption mechanism that can carry out range search without exposing the order. This method is more powerful than order-keeping methods of Sun and Agrawal and is expected to secure data more efficiently than Damiani method. As a future desk, we plan to carry out simulated experiments for performance evaluation and compare the results, and design and verify a provably secure encryption mechanism.

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