

Scheduling in Interference-Limited Environment for LTE-A Systems

#Bala Alhaji Salihu^{1,3}, *Yang Dacheng¹, Zhang Xin¹, **Suleiman Zubair^{2,3} and Lukman A. Olawoyin^{1,4}

¹Beijing University of Posts and Telecommunications, Beijing 100876, China.

²UTM-MIMOS Centre of Excellence (CoE) in Telecommunication Technology, Universiti Teknologi Malaysia

³Telecommunication Engineering Department, Federal University of Technology, Minna, Nigeria

⁴Department of Telecommunication science, university of Ilorin, Nigeria

#bala.salihu.a@ieee.org, *yangdc@bupt.edu.cn,
zhangxin@bupt.edu.cn, **suleiman2@live.utm.my

Abstract

Interference limited environment is becoming a significant scenery in wireless network deployment particularly LTE-A systems due to introduction of enhancing technologies and scenarios being ensued by 3GPP standardization. Schedulers are being designed to optimize the utility of the scarce resources to be allocated to user equipment (UE) through time and frequency domains, however, the decision of the schedulers are pinned on the channel quality indicators e.g. interference. In this paper we have proposed a method that would combine the Worst-case Fair Weighted-Fair Queuing (WF²Q) technique and Markov-chain to estimate the interference level prior to making final decision for allocation of resource units to UEs in order to enhance the network utility. Our simulation results showed that UE's throughput can be improved with acceptable fairness.

Keywords: Interference-limited, LTE-Advanced, Markov-chain, WF²Q

1. Introduction

Interference-limited environment is the case where base stations are located very close to each other. Examples are dense suburban, urban or dense urban with small cells. Typically the cell-edge composite signal level is very high, but the out-of-cell interference level is also very high too, as a result the cell-edge signal to interference plus noise ratio (SINR) is still poor. For interference-limited environment, one can approximate using the formula;

$$SINR = \frac{s}{\alpha + \sum_{l=1} I_l} = \frac{s}{\alpha + I} \quad (1)$$

Where S =received signal level; α is the thermal noise energy which is constant, whilst the total out-of-cell interference from all neighboring base stations measured at distance $a \in \mathbb{R}^d$ is given as: [1]:

$$I(a) = \sum_{x \in \mathcal{O}} p_x h_x^\ell(\|a - x\|) \quad (2) \quad \text{Where } \omega \in \mathbb{R}^d \text{ represents total}$$

number of transmitting nodes. p_x and h_x are the transmit power and the power fading

coefficient for node x , and ℓ is the path loss function which depends on the distance $\|a - x\|$ from node x to point a , as interference increases the SINR reduces.

Figure 1 depicts a no dominant server scenario wherein the upper floors of high-rise buildings have line-of-sight (LOS) with many base stations (herein referred to as evolved Node B (eNB)) on the ground. The composite signal levels on the upper floors can be very high, but no server is much better than the others, so the combined SINR from every server is poor because receive signal to power ratio (RSPR) is almost equal from all available eNBs. The scenario described above is unfavorable for realizing efficient resource scheduling because: it encourages immense increase in overhead signaling and require the UEs to report their channel experience to their respective serving eNBs for every scheduling time. This situation causes delay, consume more power at UEs' sides and over burden the eNBs. Hence there is need to curb the impact of this factor to achieve better results especially for LTE-A systems. Ideally, acceptable schedulers should take into cognizance the channel condition in order to optimally and efficiently make the allocation decision. Most of the works presented in wireless communication scheduling use different metrics for making final decision. A detail survey of decision criteria for scheduling in wireless network is presented in [2] similar scheduling suggestion for LTE network could be found in the works of [3, 4]. The downsides for the earlier suggestions includes: increase in overhead signaling, the requirement for special power control mechanism for eNBs, above all final scheduling decisions are based on several network parameters which would prone the final decision to errors due to approximations. Hence, a new approach that will guarantee higher accuracy and low complexity is much needed to address these problems.

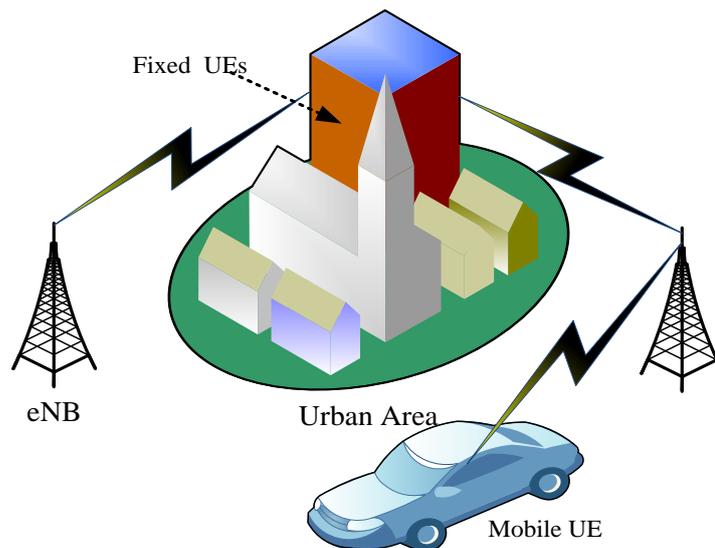


Figure 1. No Dominant Server Scenario for Fixed UEs at High Rise Buildings

In this paper we proposed alternative solution to aforementioned problems, our framework considers a general resource scheduling in wireless network within an interference limited environment (case study LTE-A system). The underlying problem is the estimation of the interference level for each UE during resource scheduling. We applied Markov-chain model to provide a systematic and general approach to obtain interference distribution pattern without necessarily depending on the UE's feedback for

every scheduling time. A few initial UEs' channel experience reported to their serving eNB generates good starting points that can be used as the initial parameters; subsequently, the interference level can be estimated with relative accuracy. While we do not rule out approximation errors, the obtained estimates are close to what is obtainable in practical scenario. With the knowledge of interference distribution we adopt the method of WF²Q to impose fairness criteria during resource allocation. Thus, our method has a faster decision processing time, ensure fairness and burst resource utilization.

2. System Model

The resources available for scheduling could be in terms of packet or frame units, time or frequency slots etc., however, resource block (RB) is the basic resource unit in LTE. Also the scheduling rate is well defined for LTE and the most dynamic scheduling rate takes place within every transmission time interval (TTI) which is 1ms [5]. In this paper we consider only the downlink scheduling for LTE-A systems. The downlink channel state information experienced by k^{th} user on n^{th} RB at slot t is given by equation 3 as presented in [6];

$$SINR_{k,n}(t) = \frac{p_n^s g_{k,n}^s}{p_n^{int} g_{k,n}^{int} + \alpha} \quad (3)$$

Where α is the noise, p_n^s and p_n^{int} are transmit powers for required and interfering signals respectively, while $g_{k,n}^s$, $g_{k,n}^{int}$ are gains for require and interfering signals respectively. Equation 3 would make sense $\Leftrightarrow (p_n^{int} g_{k,n}^{int} + \alpha) > 0$ to avoid $SINR_{k,n}(t) \rightarrow \infty$. For any UE to successfully utilize a particular RB the $SINR_{k,n}(t) \geq \beta_{min}$ where β_{min} is the minimum signal level require for guaranteeing 1% BLER.

The supportable rate for each UE in the presence of interfering signals is defined as[7]:

$$r_k(n) = \log(1 + \lambda_k(n)) \quad (4)$$

Where $\lambda_k(n) = \frac{g_{k,n}^s(t)}{\frac{1}{\eta} + \sum_{c=1, c \in I} g_{k,n}^{int}(t)}$ with assumption that $p_{k,n}^s \equiv p_{k,n}^{int}$ and $\eta = \frac{p_{k,n}^s}{\alpha}$.

In resource scheduling, optimal throughput and fairness are metrics that can guarantee quality of user experience. In our proposed scheme, we consider using method of Worst-case Fair Weighted Fair Queuing (WF²Q) technique. Our motivation for WF²Q is because it helps to smooth out the variation in service times caused by UEs' resource request that have widely differing weights[8]. In our case, the resources are the RBs available for each slot of a downlink subframe. WF²Q also keep track of delay boundby throttling UEs' resource allocation especially for the privileged UEs that have less interference and as wellensure efficient resource allocation among competing UEs at any given time using a predefine priority.

The simulation of WF²Q is characterized by equation 5 as presented in [9] with modification;

$$\begin{aligned}
 S_i(k) &= \max(F_i(k-1), V(A_i(k))) \\
 F_i(k) &= S_i(k) + \frac{L_i(k)}{w_i}
 \end{aligned}
 \tag{5}$$

$S_i(\bullet)$ is the virtual start time UE begin to access the network resources, $F_i(\bullet)$ virtual finish time a UE would complete utilizing the network resources, $V(\bullet)$ is virtual time function for service request arrival at the eNB which is a piecewise function of the real time $A_i(\bullet)$, whereas $L_i(\bullet)$ and w_i are the service length and the weighted priority for each service request respectively.

3. Model for Interference Estimation

The principle of operation of interference-limited WF²Q is homogeneous to WF²Q with fluid flow server; however, in our case the interference factor is included as one of metrics for determining the priority for each UE during scheduling. UEs are been categorized according to degree of interference they are experiencing and the supportable rate. The supportable rate can be figured out using equation 4 while the degree of interference is estimated by;

$$\delta_{\text{int},k}(t) = \sum_{i=1}^K p_i - \sum_{j=1, j \neq i}^{K-1} (1 - |\tau_i|) p_j
 \tag{6}$$

p_i = the transmitting powers for both desire and interfering signals, $|\tau_i|$ is cardinal number of interferers. Basically, the weighting priority in equation 5 is given as; $w_i = r_k / \sum_{j=1}^N r_j$. With our new concept of accounting for interference factor, we modify w_i as;

$$w_i(t) = \delta_{\text{int},k}(t) \cdot w_i
 \tag{7}$$

The new weighting priority given in equation 7 will give account of interference for each UE during scheduling. Though, the underlying issue in substituting equation 7 into 5 is the difference in time caused by the value of $|\tau_i|$ in $\delta_{\text{int},k}(t)$. In clearer say, all metrics in equation 5 are predetermined but $w_i(t)$ is instantaneous; hence, there is need to make it predetermine too to ease updating of equation 5. To address this drawback, we consider the variation of $|\tau_i|$ within a radio frame.

Let X_t be an indicator random variable that indicates whether an UE is active or idle at slot t in a given frame. The index $T = [0, 1, \dots, 10)$ is also discrete and represents the time slot. During each frame, UE's states can be described as discrete-time stochastic process with a discrete state space $S \in \{0, 1\}$, where 0=idle and 1= active states respectively. At the beginning of each radio frame *i.e.*, slot $t = 0$, UE k would be in state $X_{k,t} = u$, then the transition to the next state enters state $X_{k,t+1} = v$ with transition probability $P_{u,v}$. This transition takes place at holding time h_1 . Computing the values of $P_{u,v}$ for each UE for every transition can be challenging, however, the process can be qualified as having Markov property given as [10]:

$$\wp \{ \underbrace{X_{t+1} = j}_\text{future state} \mid \underbrace{X_0 = j_0, \dots, X_{t-1} = j_{t-1}}_\text{preceeding states}, \underbrace{X_t = j}_\text{current state} \} \quad (8)$$

For Markov chain of order d we have:

$$= \wp \{ \underbrace{X_{t+1} = j}_\text{future state} \mid \underbrace{X_{t-d-1} = j_{t-d-1}, \dots, X_t = j}_\text{Information for } d \text{ preceeding states} \} \quad (9)$$

One-step transition probability of a Markov chain from state space u to v , denoted by $P_{u,v}(t)$, is now define as;

$$P_{u,v}(t) \square \wp \{ X_{t+r} = v \mid X_t = u \} \quad (10)$$

Where $r = 0, 1, 2, 3, \dots$. Having known the transition matrix (obtainable from equation 10), we can then determine the possible state of the interferers at every instant using the transition probabilities. See example in equation 11. At the end, we would realize a matrix representing the $|\tau_i|$. For example, Let A be the transition matrix of Markov chain and u be probability vector which denotes starting distribution, then the probability that the chain is in state S_n after n steps can be realized as follows;

$$\begin{aligned} u^{(0)} &= u \\ u^{(1)} &= uA \\ u^{(2)} &= u^{(1)}A = uA^{(2)} \\ u^{(3)} &= u^{(2)}A = uA^{(3)} \\ &\bullet \\ &\bullet \\ &\bullet \\ u^{(n)} &= u^{(n-1)}A = uA^{(n)} \end{aligned} \quad (11)$$

Thus, we can predetermine the states for each RB at any time instant using the initial statevector and transition matrix only.

3.1 Scheduling Models

Scheduling is one of the key functions performed by the MAC layer that helps in guaranteeing and moderating quality of service among network users. In LTE, resource allocation is network controlled; hence the distribution of resource units among UEs is moderated by eNBs [11]. The allocation procedure would have to consider network parameters and UEs' network experience to determine the best algorithm for resource distribution, this is to provide balance resource distribution, however the key challenge is how to balance the tradeoff between fairness and throughput. There are number of established algorithms in literature designed for resource allocation in wireless communication systems such as proportional fair (PF), maximum SINR, generalized processor sharing(GPS), best CQI [2, 12, 13] *etc.*, the performance of these algorithms in LTE with different scenario is important particularly for achieving the stipulated

throughput and spectral efficiency. In every scheduling scheme, fairness index and throughput are considered fundamental. Proportional fair, generalized processor sharing and their respective enhanced algorithms are very popular because of efficiency and acceptable fairness they indicate, whereas best CQI and MaxSINR are bandwidth efficient though biased in favour of UEs with good channel experience.

3.2 Simulation Setup

Our test bed comprised of 5 eNBs and 20 UEs. Each UE is configured to experience difference interference level at different times. The rate of change of the interference level is random for all UEs. The simulator is customized and implemented in MATLAB programme. The network layout is as depicted in Figure 1. Figure 2 shows the scheduling pattern.

We considered only downlink subframe with bandwidth of 10MHz, uniform transmit power is assume for all the eNBs whereas the UEs are expected to have variation in received signal strength and data rate due different level of interference being experienced by individual UE. The scheduling is fully dynamic and the overhead especially for the control channel is partly considered.

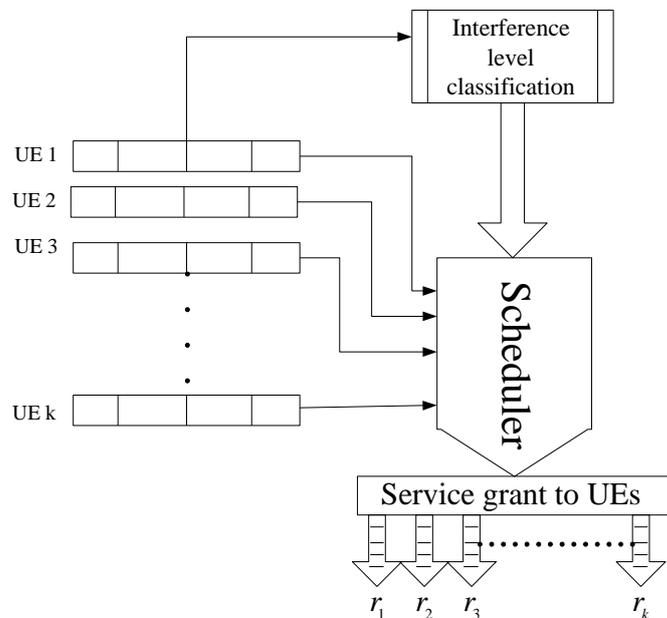


Figure 2. Scheduling Pattern

4. Results

4.1. Throughput Evaluation

We conducted simulations to evaluate the performance of our proposed algorithm, further, for comparison purpose we emulated the performance of three other algorithms namely: General Processor Scheduling (GPS), proportional fair (PF) and MaxSINR. In each case the same network parameters were used for both eNBs and the UEs. The results from each algorithm exhibit different performance as shown in Figure 3.

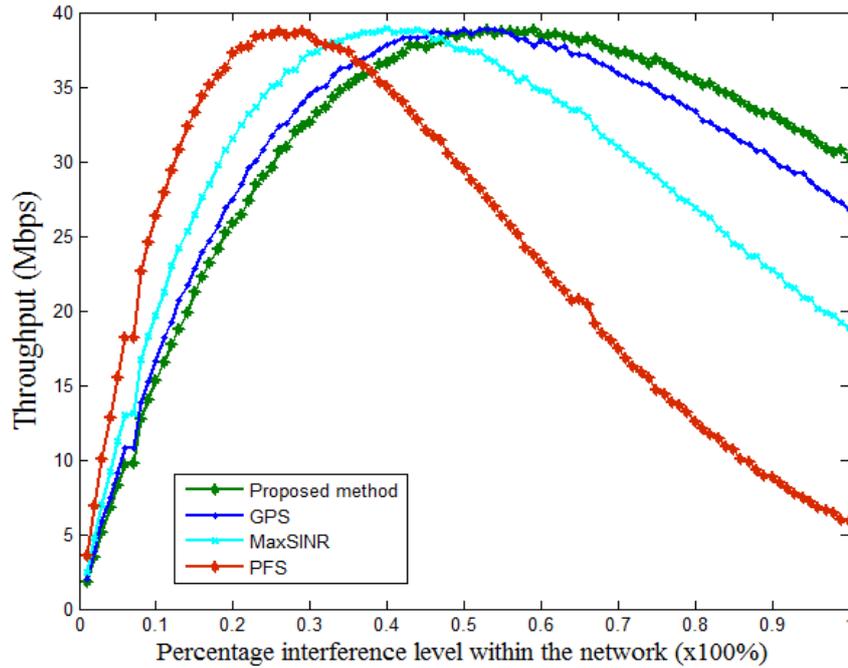


Figure 3. Throughput-vs-percentage Interference Level

It is observed from throughput-vs-interference probability graph that for each algorithm the network's throughput continue to increase until it reaches a peak of about 38Mbps, however, the difference in performance becomes obvious as the probability of interference tend to 1.

MaxSINR showed higher throughput at lower interference level but perform poorly after the peak, this is because the variation of UEs' SINR is apparently small in such a network scenario we considered. During scheduling process the scheduler will try to assign resource units to a few UEs that may have slightly higher SINR leaving many starved thereby encouraging unsolicited grant services (UGS), this algorithm is not only biased but also ineffective in interference limited scenario. PF indicates a fair resource allocation for users and perform better than MaxSINR, However it shows underutilization of network resources too beyond the peak.

GPS and our proposed algorithm show comparable results with GPS performing even better before the peak. Beyond the peak our algorithm outperforms the GPS because it captures the entire interference predicament before allocating resource units. The result of proposed algorithm depicted in Figure 3 also suggests it to be a good choice because it ensures fairness among UEs and improve the network resource utilization.

4.2. Fairness Evaluation

The goal of resource scheduling in a network is to enhance or maximize the whole utility of UEs subject to network's capacity constraints. However, fairness issue is cannot be ignored because there might be a situation where a given scheme is capable of maximizing the network throughput while denying some UEs access to network resources. Jain's fairness index is one of the ways of expressing the fairness criteria in wireless network as demonstrated in the works of [14-16], the Jain's fairness index is given as [15]:

$$J(u_i) = \frac{\left(\sum_{i=1}^n u_i\right)^2}{n \sum_{i=1}^n u_i^2} \quad (12)$$

Where u_i is feasible allocation for user i defined as the ratio of measure throughput to fair throughput. Figure 4 depict the the performance of the each algorithm simulated. As demonstrated in the figure our proposed algorithm guarantee better fairness compare to others.

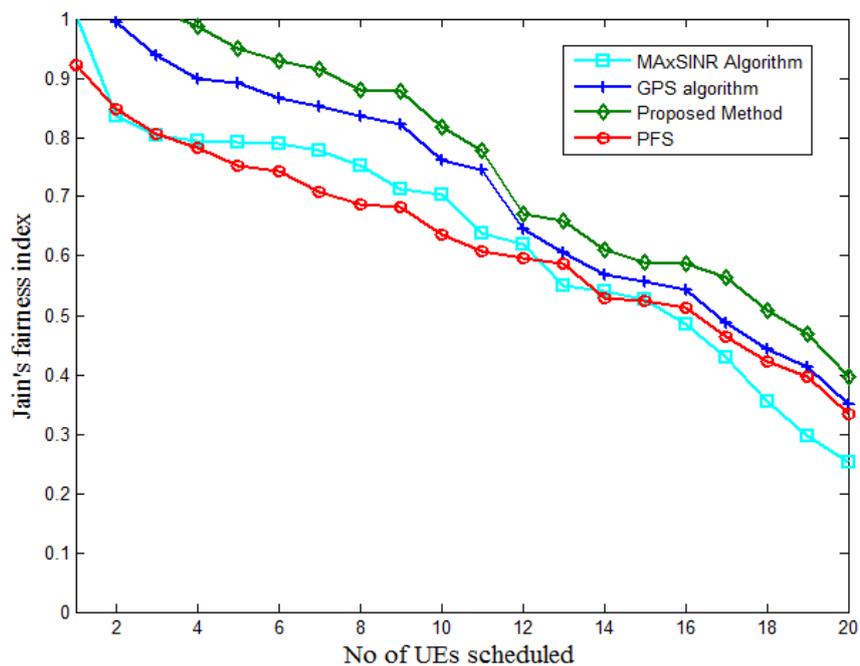


Figure 4. Jain's Fairness Index for Different Algorithms

5. Conclusion

Scheduling in interference-limited environment like sub urban scenario was herein investigated. UEs are assumed to be capable of estimating their potential interferers per resource block (RB) and report same to their serving eNBs as soon as they establish connectivity with serving eNB. Through analytical model of such we have showed a new technique to manage the interference effectively. Our method has showed and proved two important achievements: UEs interference level can be estimated by eNB using Markov-chain without much feedback from the UEs, this situation reduce the overhead for feedback reporting during channel estimation. Also, the method of WF²Q integrated in the proposed scheme makes it possible to conveniently serve the UEs with worst-case interference experience while ensuring fairness during resource allocation. We validated our model through simulations and quantitatively evaluate it in an outdoor wireless testbed. Our results has demonstrated the gain of proposed model.

References

- [1] M. Haenggi and R. K. Ganti, "Interference in Large Wireless Networks," *Foundations and Trends in Networking*, vol. 3, pp. 127-248, 2008.
- [2] S.-I. Chakchai, R. Jain, and A. K. Tamimi, "Scheduling in IEEE 802.16e mobile WiMAX networks: key issues and a survey," *Selected Areas in Communications, IEEE Journal on*, vol. 27, pp. 156-171, 2009.
- [3] L. Thiele, M. Schellmann, T. Wirth, and V. Jungnickel, "Interference-Aware Scheduling in the Synchronous Cellular Multi-Antenna Downlink," in *Vehicular Technology Conference, 2009. VTC Spring 2009. IEEE 69th*, 2009, pp. 1-6.
- [4] R. Tiwari, T. N. Dinh, and M. T. Thai, "On Centralized and Localized Approximation Algorithms for Interference-Aware Broadcast Scheduling," *Mobile Computing, IEEE Transactions on*, vol. 12, pp. 233-247, 2013.
- [5] E. Dahlman, S. Parkvall, and J. Sköld, "Chapter 13 - Scheduling and Rate Adaptation," in *4G: LTE/LTE-Advanced for Mobile Broadband (Second Edition)*, E. Dahlman, et al., Eds., ed Oxford: Academic Press, 2014, pp. 321-346.
- [6] D. Parruca, M. Grysla, S. Gortzen, and J. Gross, "Analytical Model of Proportional Fair Scheduling in Interference-Limited OFDMA/LTE Networks," in *Vehicular Technology Conference (VTC Fall), 2013 IEEE 78th*, 2013, pp. 1-7.
- [7] M. Ebrahimi, M. Maddah-Ali, and A. Khandani, "Interference-Limited versus Noise-Limited Communication Over Dense Wireless Networks," in *Information Theory, 2007. CWIT '07. 10th Canadian Workshop on*, 2007, pp. 172-175.
- [8] S. Rajasekaran and J. Reif, *Handbook of Parallel Computing: Models, Algorithms and Applications (Chapman & Hall/Crc Computer & Information Science Series)*: Taylor & Francis Group, LLC, 2008.
- [9] R. G. Garroppo, S. Giordano, D. Iacono, and L. Tavanti, "A radio-aware worst-case fair weighted fair queuing scheduler for WiMAX networks," *International Journal of Communication Systems*, vol. 27, pp. 13-30, 2014.
- [10] C. Imperial. Modelling and Simulation "Constructing and Solving Markov Processes" [Online]. Available: www.doc.ic.ac.uk/~mjb04/markov.pdf
- [11] A. Asheralieva and K. Mahata, "A two-step resource allocation procedure for LTE-based cognitive radio network," *Computer Networks*, vol. 59, pp. 137-152, 2014.
- [12] A. Alfayly, I. Mkwawa, L. Sun, and E. Ifeachor, "QoE-based performance evaluation of scheduling algorithms over LTE," in *Globecom Workshops (GC Wkshps), 2012 IEEE*, 2012, pp. 1362-1366.
- [13] S. A. AlQahtani and M. Alhassany, "Comparing different LTE scheduling schemes," in *Wireless Communications and Mobile Computing Conference (IWCMC), 2013 9th International*, 2013, pp. 264-269.
- [14] A. B. Sediq, R. H. Gohary, R. Schoenen, and H. Yanikomeroglu, "Optimal Tradeoff Between Sum-Rate Efficiency and Jain's Fairness Index in Resource Allocation," *Wireless Communications, IEEE Transactions on*, vol. 12, pp. 3496-3509, 2013.
- [15] G. Chongtao, S. Min, Z. Yan, and W. Xijun, "A Jain's Index Perspective on ϵ -Fairness Resource Allocation over Slow Fading Channels," *Communications Letters, IEEE*, vol. 17, pp. 705-708, 2013.
- [16] M. Koppen, K. Ohnishi, and M. Tsuru, "Multi-Jain Fairness Index of Per-Entity Allocation Features for Fair and Efficient Allocation of Network Resources," in *Intelligent Networking and Collaborative Systems (INCoS), 2013 5th International Conference on*, (2013), pp. 841-846.

Authors



Bala Alhaji Salihuis PhD research Scholar at Beijing University of Posts and Telecommunications, China. Also a lecturer in the department of Telecommunication engineering at Federal university of Technology, Minna Nigeria. He received his Bachelor in Electrical and Computer Engineering at Federal University of Technology, Minna in 2004 with second class honour (upper division), and Master in Communication Engineering from the same university in 2011. His research interest includes: wireless communication, Network Management Systems (NMS), radio resource management in LTE-Advanced and human space exploration. A certified Engineer and member of: Nigeria Society of Engineers (NSE), Council for Regulation of Engineering in Nigeria (CoREN), Institute of Electrical Electronics Engineers (IEEE) and International Association of Engineers (IAENG). bala.salihu.a@ieee.org



Yang Dacheng received his M.S. and Ph.D degrees, both in the circuits and systems, from Beijing University of Posts and Telecommunications (BUPT), China in 1982 and 1988 respectively. From 1992 to 1993 he worked in the University of Bristol in U.K. as a senior visiting scholar, where he was engaged in the Project Link-CDMA of Race programme. In 1993 he returned to BUPT as an assistant professor. Since 1994, he has been engaging in many projects on CDMA in China as a team leader. Currently, director and Chinese-side Chief Scientist and investigator of BUPT-Qualcomm Wireless Research Center at BUPT. He is the Director of the Institute of radio engineering wireless center, joint research center of Beijing University of Posts and Telecommunications BUPT-QUALCOMM China chief expert, former deputy director of the Ministry of Posts and telecommunications mobile communications research and development center, senior member of China Institute of electronics. Since 2000, China Unicom headquarters senior technical adviser. He has undertaken major ministerial level scientific research projects, published dozens of papers, won several awards and honors. His main research interests include design and implementation of mobile communication systems.



Xin Zhang received his B.Eng. (1997) degree in communications engineering, M.Eng. (2000) degree in signal & information processing and Ph.D. (2003) degree in communications & information systems from Beijing University of Posts and Telecommunications (BUPT). He joined BUPT in 2003, now working in Wireless Theories and Technologies Lab as an associate professor, and focuses the research mainly on key technologies and performance analysis of air interface of wireless networks.



Zubair Suleiman had his B.Eng in Electrical & Computer Engineering 2003 and took up a job as assistant Lecturer in the same university (Federal University of Technology, Minna) and M.Eng in Communication Engineering from the same university. He is presently a Ph.D. Research Student (Faculty of Electrical Engineering) MIMOS (Lab) Center of Excellence in Telecommunication Technology, Johor, Universiti Teknologi, Malaysia on Wireless Sensor Networks. His research interest areas are, Wireless Sensor Networks, Embedded Systems, Data communication & Networking, Technological Development in third World Countries. Wireless communication, Optical Fiber Communications, Next Generation Networks, Biomedical Technology.



Lukman, Abiodun Olawoyin received his B.Eng degree in Electrical/Electronic Engineering from the Federal University of Technology, Akure, Nigeria. And M.Sc. in Modern Digital Communication Systems from the University of Sussex, UK. Presently, he is a Ph.D. student in the school of Information and Communication Engineering at the Beijing University of Posts and Telecommunication, Beijing, China. His research interests include, Cooperative communication, signal processing, MIMO and physical layer security.

