

NETCONF-based Integrated Management for Internet of Things using RESTful Web Services

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Abstract

Considering the rapid development of Internet of Things, this paper tries to solve its integrated management problem. The aim of this paper is then to introduce new-generation network management standardization that is NETCONF and propose the use of RESTful Web Services from the viewpoint of lightweight requirements for integrated management of Internet of Things. Using the proposed approach, this paper discusses the definitions of management operations based on NETCONF over HTTP for integrated management of Internet of Things. In the end of this paper, two typical integrated management scenarios for Internet of Things are provided, in order to validate the feasibility of the proposed approach.

Keywords: *Internet of Things, Integrated Management, NETCONF, RESTful Web Services*

1. Introduction

In 2005, International Telecommunications Union (ITU) published an annual report titled *ITU Internet Reports 2005 Executive Summary: The Internet of Things* [1], which formally establishes the concept of Internet of Things, and also extends this concept by presenting the desire for future development that is any time, any place, any things connection, ubiquitous networks and ubiquitous computing. In 2010, Hammersmith group released a research report titled *The Internet of things: Networked Objects and Smart Devices* [2], which contains the following three key points relying on the market size and assumptions. First, networked objects generate significant information trails; second, smart appliances support energy conservation through demand response strategies; third, networked objects can create lifestyle benefits. Additionally, many other research institutes and companies have also presented its particular understanding or solution [3], which may promote the continual development of the Internet of Things in a global domain.

As an important research area, network management should be an indispensable part for the design of Internet of Things, instead of being a post-accession function in the traditional sense. As to Internet of Things, the integrated management problem becomes more prominent. From the viewpoint of Sensor as a Service [4], the function part of smart devices (main components of Internet of Things) can be seen as services, and Service-Oriented Architecture (SOA) can then be utilized for management of Internet of Things. Remarkably, Reference [5] applies SOA to interconnection of real-world embedded equipments, the infrastructure of which can be utilized by users to dynamically select, choose and use real-world services. The aim of this paper is then to discuss the integrated management problem of Internet of Things based on SOA, introduce new-generation network management

standardization that is NETCONF, and propose the application of RESTful Web Services from the viewpoint of lightweight management requirements by Internet of Things.

The remainder of this paper is organized as follows. Section 2 introduces new-generation network management standardization that is NETCONF and proposes the use of RESTful Web Services from the viewpoint of lightweight requirements for integrated management of Internet of Things. Then, Section 3 discusses the definitions of management operations for integrated management of Internet of Things by utilizing NETCONF over HTTP. Section 4 provides two typical integrated management scenarios for Internet of Things, in order to validate the feasibility of the proposed approach. Section 5 concludes this paper.

2. Proposed Integrated Management Framework

As for smart devices in Internet of Things, integrated management is proposed to be implemented in a standardized way based on NETCONF using RESTful Web Services.

2.1. Standardization by NETCONF

Typically, network management adopts the Manager-Agent model, which defines the principles of operations for protocol-based management solutions [6], and managed resources are then modeled as Managed Objects (MOs) and a particular set of MOs is named as Management Information Base (MIB). Novel network management solutions should adapt to meet the developing requirements of Internet of Things. Interconnecting smart devices with IP is a prospective direction, and IP-oriented standardizations can be utilized for integrated management of Internet of Things.

As the new-generation network management standardization, NETCONF has overcome the weaknesses of Simple Network Management Protocol (SNMP), and it provides a better configuration of IP network devices due to the effective use of XML and related technologies. NETCONF is divided into four layers, which are content, operations, Remote Procedure Call (RPC) and transport protocol, as is shown in Table 1.

Table 1. The Four Layers for NETCONF

| Layer | Related RFC | Example |
|--------------------|------------------------------------|---|
| Content | RFC6020, RFC6021, RFC6110 | MIB defined by YANG |
| Operations | RFC4741, RFC5277 | <get-config>, <edit-config>, <notification> |
| RPC | RFC4741 | <rpc>, <rpc-reply> |
| Transport Protocol | RFC4741, RFC4742, RFC4743, RFC4744 | BEEP, SSH |

In summary, NETCONF-based network management has emerged as a promising approach to standardize XML-based network management for the sake of automation, especially in the field of configuration management, the function of which is often not remarkable in other solutions. This paper tries to make full use of NETCONF to promote the standardization of integrated management for Internet of Things.

Figure 1 proposes an integrated management framework for the Internet of Things using the Manager-Agent model based on NETCONF.

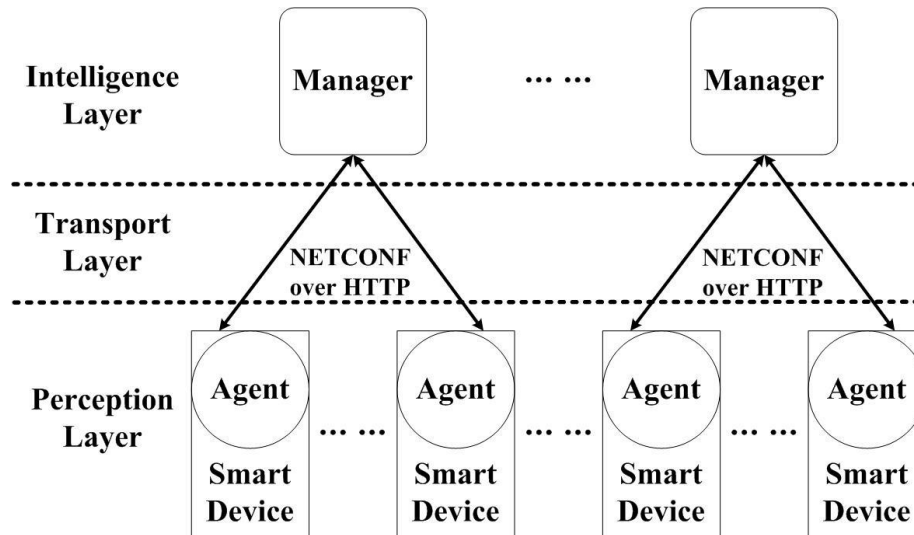


Figure 1. Proposed integrated management framework for the Internet of Things using Manager-Agent model based on NETCONF

As is depicted in Figure 1, Internet of Things is divided into three functional layers, which are Perception Layer, Transport Layer and Intelligence Layer. And based on this hierarchical classification, the Manager-Agent management model is adopted.

2.2. Application of RESTful Web Services

When considering the use of SOA for integrated management problem of Internet of Things, if Web Services based on Simple Object Access Protocol (SOAP) and Web Services Description Language (WSDL) are directly reused for the management of Internet of Things, the cost for encoding and decoding is incompatible with the limited resources provided by smart devices. From this point of view, RESTful Web Services can be utilized for the lightweight implementation of integrated management of Internet of Things, and the RESTful Web Services approach based on NETCONF is then proposed. REST is not an architecture, but a set of design criteria [7], which means that, Resource-Oriented Architecture (ROA) is a RESTful architecture that provides a commonsense set of rules and a step-by-step procedure for designing RESTful Web Services complying with these design criteria [8].

When applying the RESTful Web Services approach to manage Internet of Things in an integrated manner, the key points are listed as follows.

- MOs and MIB are modeled as resource identified by URI, and they can be described by HTML, XML or JavaScript Object Notation (JSON)
- HTTP are used as transport protocol for management messages
- Management operations can be performed as HTTP actions

3. NETCONF over HTTP for Integrated Management

As is informed in RFC6244 [9], NETCONF can run over any transport protocol that meets the requirements defined in RFC 4741, including connection-oriented operation, authentication, integrity and confidentiality. Combined with the use of the RESTful Web

Services approach, NETCONF over HTTP is proposed for integrated management of Internet of Things.

3.1. NETCONF-based Management Operations for MO

Based on NETCONF over HTTP, the RESTful Web Services approach promotes the definition of integrated management operations for Internet of Things. Table 2 presents the definition of management operations supported by the MO resource.

Table 2. The Definition of Management Operations Supported by the MO Resource

| Resource | Format | Management Operation | Function | HTTP Action |
|----------|-----------------------|----------------------|--|---|
| MO | HTML /XML /JSON | GetRequest | Getting the instance value of a particular MO | Manager sends a HTTP GET request to Agent |
| | | EditRequest | Editing the instance value of a particular MO | Manager sends a HTTP PUT request to Agent |
| | | CopyRequest | Copying a particular MO | Manager sends a HTTP POST request to Agent |
| | | DeleteRequest | Deleting a particular MO | Manager sends a HTTP DELETE request to Agent |
| | | MOResponse | Responding for a management request operation supported by the MO resource | Agent returns a HTTP response to Manager for a request operation supported by the MO resource |

3.2. NETCONF-based management operations for MIB

In a similar way as above, Table 3 provides the basic definition of management operations supported by the MIB resource based on NETCONF over HTTP.

Note that, when establishing the communication session between Manager and Agent, HelloRequest is required to notify its capability to the peer. Support of NETCONF capabilities will result in definition of new management operations or modification of current management operations. Table 4 provides the extended definition of management operations supported by the MIB resource with the use of NETCONF capabilities.

Table 3. The Basic Definition of Management Operations Supported by the MIB Resource

| Resource | Format | Management Operation | Function | HTTP Action |
|-------------|-----------------------|---|--|--|
| MIB | HTML /XML /JSON | HelloRequest | Exchanging the supported capabilities between peers | Manager sends a HTTP POST request to Agent |
| | | AddMOResult | Adding a new defined MO | Manager sends a HTTP POST request to Agent |
| | | GetConfigRequest | Getting instance values specified by the filter of MIB | Manager sends a HTTP GET request to Agent |
| | | LockRequest | Locking the target MIB before configuration operations | Manager sends a HTTP POST request to Agent |
| | | UnlockRequest | Unlocking the target MIB after configuration operations | Manager sends a HTTP DELETE request to Agent |
| | | EditConfigRequest | Editing instance values specified by the filter of MIB through merge or replace | Manager sends a HTTP PUT request to Agent |
| | | CopyConfigRequest | Copying MIB from the source to the target | Manager sends a HTTP POST request to Agent |
| | | DeleteConfigRequest | Deleting the target MIB | Manager sends a HTTP DELETE request to Agent |
| | | CloseSessionRequest | Closing the current session in a normal way | Manager sends a HTTP DELETE request to Agent |
| | | KillSessionRequest | Forcing the termination of a session | Manager sends a HTTP DELETE request to Agent |
| MIBResponse | | Responding for a management request operation supported by the MIB resource | Agent returns a HTTP response to Manager for a request operation supported by the MIB resource | |

Table 4. The Extended Definition of Management Operations Supported by the MIB Resource with the Use of NETCONF Capabilities

| Resource | Format | Management Operation | Supported Capability | Function | HTTP Action |
|----------|-----------------------|---------------------------|----------------------|---|--|
| MIB | HTML /XML /JSON | CommitRequest | :candidate | Submitting the configuration data and maintaining consistency | Manager sends a HTTP POST request to Agent |
| | | DiscardChangesRequest | :candidate | Reverting the candidate data to the target MIB | Manager sends a HTTP POST request to Agent |
| | | ValidateRequest | :validate | Validating the contents of the MIB resource | Manager sends a HTTP GET request to Agent |
| | | CreateSubscriptionRequest | :notification | Subscribing to event notification | Manager sends a HTTP POST request to Agent |
| | | CancelSubscriptionRequest | :notification | Canceling the subscription of event notification | Manager sends a HTTP DELETE request to Agent |
| | | EventNotification | :notification | Notifying subscribed event | Agent sends a HTTP PUT request to Manager |

4. Integrated Management Scenarios for Validation

In order to validate the feasibility of the proposed approach, this section discusses issues related to two typical integrated management scenarios for Internet of Things, which are active management from Manager to Agent and passive management from Agent to Manager.

4.1. Active management from Manager to Agent

Figure 2 demonstrates the management scenario of operation requests using the RESTful Web Services approach based on NETCONF in the Internet of Things. Note that, due to the resource constraint in Internet of Things, MIBs are maintained by the Manager. Thus in this case, resources are created at the end of Manager after capability exchanging through HelloRequest.

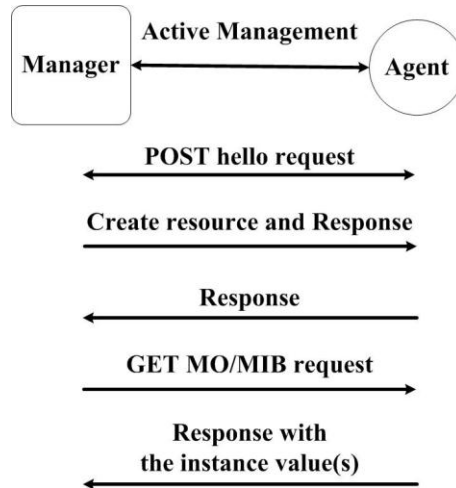


Figure 2. The Management Scenario of Operation Requests using the RESTful Web Services Approach based on NETCONF in the Internet of Things

4.2. Passive Management from Agent to Manager

Figure 3 demonstrates the management scenario of event notifications using the RESTful Web Services approach in the Internet of Things.

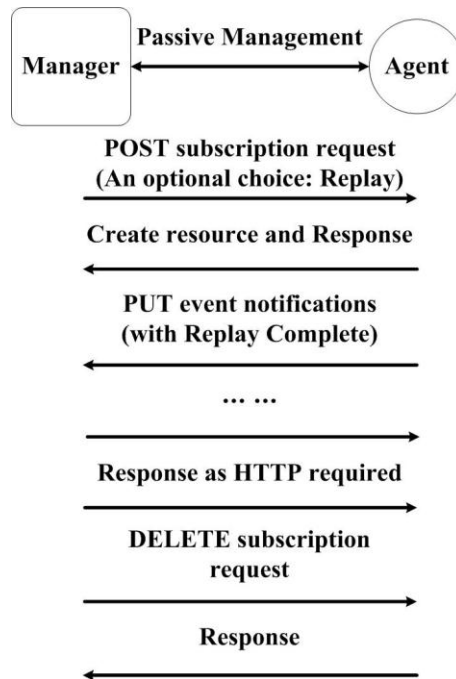


Figure 3. The Management Scenario of Event Notifications using the RESTful Web Services Approach based on NETCONF in the Internet of Things

As is indicated in Figure 3, Manager uses HTTP PUT operations for event notifications, but as for network management specifications, responses are not essential, which are just

required by HTTP. Additionally, RFC5277 [10] specifies the use of notification replay, which is the ability to create an event subscription that will resend recently generated notifications, or in some cases send them for the first time to a particular NETCONF Manager. And these notifications are sent the same way as normal notifications, as is shown in Figure 3.

5. Conclusions

The main contribution of this paper is to introduce new-generation network management standardization that is NETCONF for integrated management of Internet of Things, and propose the use of RESTful Web Services from the viewpoint of lightweight management requirements. This paper discusses the basic and extended definitions of management operations based on NETCONF over HTTP for integrated management of Internet of Things, and provides two typical integrated management scenarios for Internet of Things for validation of the proposed approach. The validation result shows that, the proposed approach based on NETCONF with the use of RESTful Web Services is feasible for integrated management of Internet of Things.

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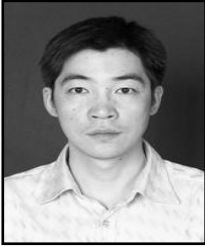
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