

Evaluation of Public Servant Execution Based on Data Mining Technique and Multiple Factors Joint Modeling Analysis

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Abstract

With the rapid development of computer science and technology, data mining modelling techniques have emerged and rapidly developed as an alternative powerful meta-learning tool to accurately and fast analyze the massive volume of data generated by modern applications. The combination of data analysis technique and evaluation of public servant execution is urgently needed. Improve the execution of public servants at the grass-roots level is one of the important link to strengthen the construction of authority administrative efficiency of administrative goals is very important. Enhance the execution must first cultivate advanced concept, armed with advanced execution concept to the vast number of public servants at the grass-roots level. The assessment of public execution has a lot of traditional methods and models can be used but there is limitation. The limitation could be concluded as the following. Carelessness or poor sensitivity, At the grassroots level, the implementation of the main body of the general public servants at the grass-roots level and they can perform in place, one of the important factor is whether the leader on the work division of labor, organization, management and supervision effectively. In this paper, we conduct research on evaluation of public servant execution based on data mining technique and joint modeling analysis of multiple factors under big data environment. Firstly, we introduce some state-of-the-art clustering algorithm to serve as the basis of our model. Combined with deep neural network and optimization modelling, we propose our support vector machine based data clustering algorithm through multiple factor modelling. Subsequently, we discuss the principles on evaluation of public servant execution and process management. In the experimental part, we conduct experiment on both data clustering based data pre-processing step and the evaluation of elements' weight for process management. The result indicates the most important factor for management and the feasibility and effectiveness of our proposed clustering method. Future potential research areas are also discussed in the final Section.

Keywords: *Evaluation of Public Servant Execution, Data Mining Technique, multiple Factors Joint Modeling Analysis*

1. Introduction

As the core algorithm of data mining technique, machine learning methodology can be broadly classified into transductive or inductive approach, respectively. In the transformation type study, objective is to learn to observe, specific examples to specific examples from the same distribution. In contrast, induction from observational learning training situation will study the real distribution of a set of assumptions test cases. Conversion ratio induction, induction need to solve the problem of a more general (assuming the real distribution) to solve problems in a more specific issue. Modern applications of data mining techniques could be divided into the following parts: (1) Data mining for pattern recognition. Through mining the captured data, we could perceive the pattern of the received object, such as image, video and document. In [1], Anyela *et al.*

proposed an objective definition of rosette shape variation using a joint image processing and data mining approach. In [2], Vishwanath *et al.* proposed a KNN based machine learning approach for text and document mining and they adopt the data mining technique to polish the current simple models of document analysis and enhance the accuracy of detecting the specific blocks of words. (2) Data mining for business. With the popularity of Internet and computer, online data is bursting which is essential for companies which may help analysis the preference of the customer and response of the market. In [3], Erman *et al.* conducted research on predicting dropout student through mining the online education program's data. In order to classify dropout students, four data mining approaches were applied based on k-Nearest Neighbour, Decision Tree, Naive Bayes and Neural Network. In [4], Witte introduced a novel perspective of data mining, inference and prediction in the age of big data. (3) Data mining for management and evaluation of public servant execution. In [5], Xu's group conducted research on improving management of aquatic invasions by integrating shipping network, ecological, and environmental data. The combination of data mining and management is challenging due to its complex mechanism. There are more related researches on data mining and analysis in the literature review [6-15].

Research on evaluation of public servant execution is important for our society and government. Public servants at the grass-roots level to stand in the forefront of implementation of the party and the state of various policies, the first line, directly to the masses, service masses which is the bridge connecting state and the masses and the execution of the quality of the relationship between the party and national policy implementation and effects. We need to overcome the problems of execution of public servants at the grass-roots level to strive to find the effective ways to improve execution so as to continuously enhance administrative efficiency and obtain better service to the masses. With higher execution, citizens will benefit more not only related to the efficient work but also the high-quality life. In the process of realize the goal of policy, the government executive ability is the key to the effect to translate into action the administrative goal and execution of public servants at the grass-roots level about the final and effective realization of the goal administration. Under the big data environment, modelling execution through multiple factors is a feasible and applicable way to gain higher accuracy.

The traditional methods could be summarized as the follows. (1) Cultivate the advanced idea of execution. Concept is the premise and basis of action. Improve the execution, the execution of must first cultivate advanced idea, only to the mind of the grass-roots public servants armed with advanced execution concept, strengthen its political consciousness and the objective consciousness, responsibility consciousness and the general situation consciousness which make it become the source of their conscious awareness and motivation. (2) Build efficient execution ability. To improve the execution of grass-roots public servants, we should focus on enhancing its ability quality. One method is the ability to implement responsibility of the quality. Public servants at the grass-roots level to strengthen political theory knowledge learning at the same time which also must keep pace with the times, strengthen the market economy, science and technology, laws and regulations and management and other related knowledge. (3) Create efficient enforcement mechanism. Establish and improve the efficient enforcement mechanism, is to improve the security of the execution of the public servants at the grass-roots level. Science define and refine their responsibility to accrual of proportion as the criterion, build system of post responsibility management by objectives and responsibility, do to post proportional amount, responsibility to the people. However, the traditional methods have not focus on the data obtained from the real world survey. Combining the advances of the big data and information technology into the research is urgently needed.

In this paper, we conduct research on evaluation of public servant execution based on data mining technique and joint modeling analysis of multiple factors under big data

environment. In the data mining procedure, we adopt the principle technique of neural network (NN) and quantum support vector machine (QSVM) to classify the data into clusters, and later, we extract the features learned from the clusters to undertake the task of evaluating execution of public servants. The experimental result shows that our methodology could correctly classify the levels of public servants into three layers: high-efficient, medium and low-efficient with high accuracy. The paper could be organized as the follows: In the Section 2, we introduce the overview of popular adopted data classification algorithms under the big data environment. Based on this chapter, we propose our methodology in the Section 3. In the Section 4, we discuss the principles on evaluation of public servant execution and process management to combine the evaluation with data analysis and modelling. In the Section 5, we conduct experimental analysis on data pre-processing and measurement result, the result indicates that our pre-processing algorithm based on the proposed fuzzy clustering method outperforms the other state-of-the-art approaches. In the final Section, we give the conclusion and the prospect.

2. Two Traditional Data Classification Algorithms Frequently Used by Evaluation of Public Servant Execution

In this Section, we introduce two state-of-the-art and important clustering algorithms with detailed discussion. The selected ones are: FCM and EM algorithms. These two models serve as the basic of our proposed method and we will conduct comparison experiment in the following chapters.

2.1. Fuzzy C-means Algorithm (FCM)

FCM [16] is a representative algorithm of fuzzy clustering which is based on K-means concepts to partition dataset into clusters. The FCM algorithm is a “soft” clustering method in which the objects are assigned to the clusters with a degree of belief. Fuzzy c-means algorithm aims at minimizing the intra-cluster variance. It inherited problem of k-means, however, it is a local minimum and finally a cluster depends on the choice of initial weights. The objective function for FCM I defined in the formula 1:

$$J = \sum_{i=1}^n \sum_{k=1}^c \mu_{ik}^m |p_i - v_k|^2 \quad (1)$$

Where J represents the objective function, n is the number of objects, c is the number of clusters, μ_{ik}^m is the likelihood values. The pseudo-code for FCM is shown in the Table 1.

Table 1.The FCM Algorithm

Algorithm 1. Fuzzy C-means Algorithm (FCM)

1. **Input:** Given the dataset desire number of clusters, fuzzy parameters and stopping condition.
 2. **Output:** A list of c cluster centers and a partition matrix are produced.
 3. Calculate the cluster centroids and the objective value.
 4. Compute the membership values stored in the matrix.
 5. If the objective value of between consecutive iterations is less than the stopping condition, then $stop = true$.
 6. **While** (! $stop$).
-

The FCM algorithm is an unsupervised learning process and implementation is relatively simple and fast calculation speed, the objective function is the Euclidean distance which has intuitive geometric meaning. However, like other clustering algorithms,

FCM algorithm has its own disadvantages and applicable object, first of all, the FCM clustering algorithm only class center to represent the class, so only suitable for find ball type, etc. The convex shape of the clusters and in many cases, the algorithm is sensitive to noise data. Secondly the algorithm have two parameters before clustering analysis requirements given advance appropriate assignment, namely fuzzy weighted index and clustering number and this will affect the analysis of effect of FCM algorithm. Finally the algorithm is sensitive to initialize the classification parameters, the division of different initialization may get different results, also is more sensitive to noise data. Due to the mentioned drawbacks, we decide not to use the algorithm.

2.2. The Expectation-maximization (EM) Algorithm

Expectation-maximization algorithm [17] is generally designed to estimate the maximum likelihood parameters of a statistical model in many situations, such as the one where the equations cannot be solved directly. In the Table 2, we introduce the basic expectation-maximization algorithm.

Table 2. The Expectation-Maximization Algorithm

Algorithm 2. The Expectation-Maximization (EM) Algorithm

1. **Input:** The dataset (x), the total number of clusters (M), the accepted error for convergence (ϵ) and the maximum number of iterations.
2. **Output:** A series of parameters represents the achievement of the convergence criterion.
3. Compute the expectation of the complete data log-likelihood.

$$Q(\theta, \theta^T) = E \left[\log p(x^s, x^m | \theta) x^s, \theta^T \right]$$

4. Select a new parameter estimate that maximizes the Q-function.

$$\theta^{T+1} = \arg \max_{\theta} Q(\theta, \theta^T)$$

5. Increment $t=t+1$; repeat steps 2 and 3 until the convergence condition.
-

In view of the defects of FCM algorithm and characteristics, people try various methods to try to optimize the FCM algorithm, the FCM algorithm to get the most reasonable and efficient practical use. EM algorithm in one of the most famous algorithms adopted. However, it is also sensitive to noise which makes us not choose this method.

3. Our Proposed Methodology for Evaluation of Public Servant Execution under the Big Data Environment

3.1. Principles of Support Vector Machine

The task for the SVM is to classify a vector into one of two classes. The form of training data is shown in the formula 2.

$$\left\{ (\vec{x}_j, y_j) : \vec{x}_j \in \mathbb{R}^N, y_j = \pm 1 \right\}_{j=1,2,\dots,M} \quad (2)$$

Where, y_j is depending on the belonging class. For the classification, the SVM finds a maximum-margin hyperplane with normal vector \vec{w} that divides the two classes. The margin is given by two parallel hyperplanes that are separated by the maximum possible distance $2 / |\vec{w}|$ with no data points inside the margin. The dual formulation for the issue is

maximizing over the Karush-Kuhn-Tucker multipliers (KKT) $\vec{\alpha} = (\alpha_1, \dots, \alpha_M)^T$ the function:

$$L(\vec{\alpha}) = \sum_{j=1}^M y_j \alpha_j - \frac{1}{2} \sum_{j,k=1}^M \alpha_j K_{jk} \alpha_k \quad (3)$$

The hyperplane parameters are recovered from $\vec{w} = \sum_{j=1}^M \alpha_j \vec{x}_j$ and $b = y_j - \vec{w} \cdot \vec{x}_j$. The kernel functions for SVM can be found easily in the literature reviews [18-25]. The formula 4 is a sample kernel function.

$$K_{jk} = k(\vec{x}_j, \vec{x}_k) = \vec{x}_j \cdot \vec{x}_k \quad (4)$$

3.2. Deep Neural Network Combined Model

In the last few years, Deep Neural Networks have replaced Gaussian Mixture Models as the state-of-the-art acoustic model. DNN optimization based on a Cross-Entropy (CE) loss function reflecting classification of temporally local speech frames into context-dependent states for using in a “hybrid” DNN/HMM system is a simple, easily implemented approach used successfully in several studies [26-30]. Although simple, but the frame - discriminative training is the ultimate goal of cannot guarantee optimization, precision in the whole discourse, decoding and a complete model of the language. The description of the first paragraph optimization is using utterance-level maximum mutual information (MMI) standard merge recognition. The flowchart could be seen in the Figure 1.

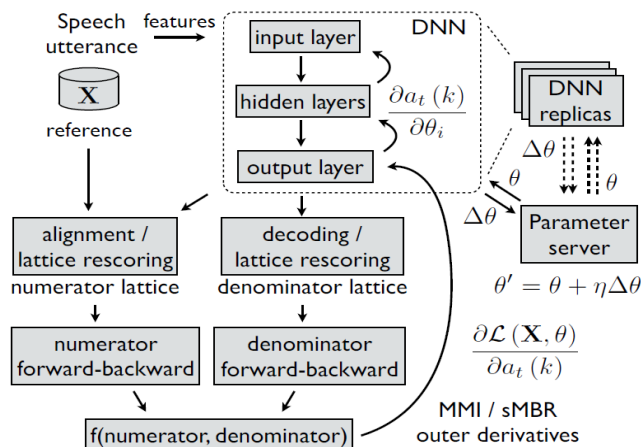


Figure 1. The Explanation of DNN

Though the effectiveness of SGD has been known for decades, schemes to scale it via parallelization have run into the fundamental problem of memory-locking and synchronous model updates, which drastically slows down learning performance. The key features are: (1) A parameter server that (1) Holds a snapshot of the current parameter set, which it can communicate on request; (2) Updates the parameter set, given incoming parameter gradients and a learning rule; (2) A set of model replicas that (1) Make requests to the parameter server to obtain the latest version of the parameter set; (2) Update a local representation of the cost function gradient according to their local parameter set and the data shard being processed; (3) Communicate their local gradient back to the parameter server. Copy do not wait for the latest version update, on the contrary, they calculate derivatives using the model, is usually out of date. Asynchronous allows faster optimization, divergence if the parameter update the risk is too big.

3.3. Our Proposed Optimization Method

In the following, different optimization criteria and their outer derivatives are briefly summarized. (1) Frame-level Cross-Entropy. In the framework of this study is a simple baseline level CE optimization criterion, promote the past most of the GMM recognition precision of baseline.

$$L_{XENT}(X, \theta) = \sum_{t=1}^T \sum_{k=1}^N y_t(k) \log \frac{y_t(k)}{y_t(k)} \quad (5)$$

The outer derivative is shown in the formula 6.

$$\frac{\partial L_{XENT}(X, \theta)}{\partial a_t(k)} = y_t(k) - y_t(k) \quad (6)$$

(2) Maximum Mutual Information (MMI) for sequence training. For DNNs, the log-likelihood for X gives a word string S_j with best Viterbi state sequence which is formulated as the equation 7.

$$\log p(X | S_j) = \sum_{t=1}^T \log p(X_t | S_j(t)) \quad (7)$$

Closely related to CE, the MMI criterion can then be defined for X and the reference word string:

$$L_{MMI}(X, \theta) = -\log \frac{p(X | S_r)^k p(S_r)}{\sum_j p(X | S_r)^k p(S_j)} \quad (8)$$

These statistics can be obtained efficiently from lattices represented as Weighted Finite State Transducers (WFSTs) using the Forward-Backward algorithm on the Log semiring.

4. Principles on the Evaluation of Public Servant Execution and Process Management

Measuring the execution of public servants is an important task for both the government and the society. The measurement standard is similar to the process management issues. A framework highlighting essential building blocks of process management can particularly serve the following purposes: (1) Project management; (2) Vendor Management; (3) Complexity Management; (4) Standards Management; (5) Strategy Management. The recent related researches are concluded in the Figure 2.

Model	Subject	Source
Process Condition Model	Effectiveness and efficiency measurement to rate a process' condition	DeToro and McCabe (1997)
Strategic Alignment Maturity Model	Maturity of strategic alignment	Luftman (2003)
BPR Maturity Model	Business Process Re-engineering Programmes	Mauil et al. (2003)
Harmon's BPM Maturity Model	BPM maturity model based on the CMM	Harmon (2003, 2004)
Rummler-Brache Group's Process Maturity Model	Success factors for managing key business processes	Rummler-Brache (2004)
OMG's BPM Maturity Model	Practices applied to the management of discrete processes	Curtis et al. (2004); OMG (2008)
Rosemann and de Bruin's BPM Maturity Model	Maturity of Business Process Management capabilities	Rosemann; de Bruin (2005); de Bruin (2009)
Capability Maturity Model Integration (CMMI)	Maturity of software development processes	SEI (2006a, 2006b)
Hammer's BPM Maturity Model (Process Audit)	Defining process and enterprise competencies	Hammer (2007)

Figure 2.The Related Researches

Through the literature reviews, we could summarize the core elements for evaluating the execution of public servants. Our research distinguishes six core elements critical to the execution of public servants. These are strategic alignment, governance, methods, information technology, people, and culture. The Figure 3 shows the elements.

Strategic Alignment	Governance	Methods	Information Technology	People	Culture	Factors
Process Improvement Planning	Process Management Decision Making	Process Design & Modelling	Process Design & Modelling	Process Skills & Expertise	Responsiveness to Process Change	Capability Areas
Strategy & Process Capability Linkage	Process Roles and Responsibilities	Process Implementation & Execution	Process Implementation & Execution	Process Management Knowledge	Process Values & Beliefs	
Enterprise Process Architecture	Process Metrics & Performance Linkage	Process Monitoring & Control	Process Monitoring & Control	Process Education	Process Attitudes & Behaviors	
Process Measures	Process Related Standards	Process Improvement & Innovation	Process Improvement & Innovation	Process Collaboration	Leadership Attention to Process	
Process Customers & Stakeholders	Process Management Compliance	Process Program & Project Management	Process Program & Project Management	Process Management Leaders	Process Management Social Networks	

Figure 3.The Core Elements for Measuring

We discuss the core elements in detail. (1) Culture. Culture incorporates the collective values and beliefs in regards to the process-centered organization. Culture is about creating an environment to promote, added a variety of evaluation projects. It need to realize, however, the influence of the cultural activities tend to have a longer range than activities related to the other five factors. (2) People. People at the core of evaluating elements are defined as individuals and groups to improve their process and application and the process management skills and knowledge, in order to improve enterprise performance. (3) Information Technology. IT-based solutions are of significance for initiatives. (4) Methods. Methods in the context of the evaluation are defined as the set of tools and techniques that support and enable activities along the process lifecycle and within

enterprise-wide BPM initiatives. (5) Governance. A further focus is on the design of decision-making and reward processes to guide process-related actions.

5. Experimental Analysis and Simulation

In order to verify the effectiveness and feasibility of our proposed methodology, we conduct numerical and experimental simulation in this Section. We firstly collect data from our pre-tested data set. Then, we use the proposed clustering method to classify the data into clusters for further use. Finally, we evaluate the proposed core elements and analyze the importance of every single element.

5.1. Set-Up of the Experiment

The simulation environment is initialized as the follows. Six physical machines equipped with 4 TB hard disk and 6 GB of RAM, and the simulation software is installed on Windows Win XP platform and Intel core 2 quad core 3.8 GHz and 6 GB of RAM. To compare the advantages of the candidate clustering algorithms, we take three other algorithms as the reference.

5.2. Data Clustering Experiment

The numerical result is shown in the Table 3 and in the Figure 4 and we draw the curve for the data. We could instantly see from the Table and the Figure that our proposed cluster method out-performs compared with the other methods.

Table 3. The Data Clustering Experiment Result

Number	Our Method	FCM	EM	K-means
1	89.6	85.6	86.5	86.6
2	91.3	88.3	85.4	84.1
3	93.4	91.0	88.8	90.5
4	90.5	78.3	86.5	88.6
5	89.1	80.5	82.6	87.1
6	95.3	90.4	91.1	89.2
7	89.1	88.8	87.2	86.3
8	90.2	92.1	88.4	88.1

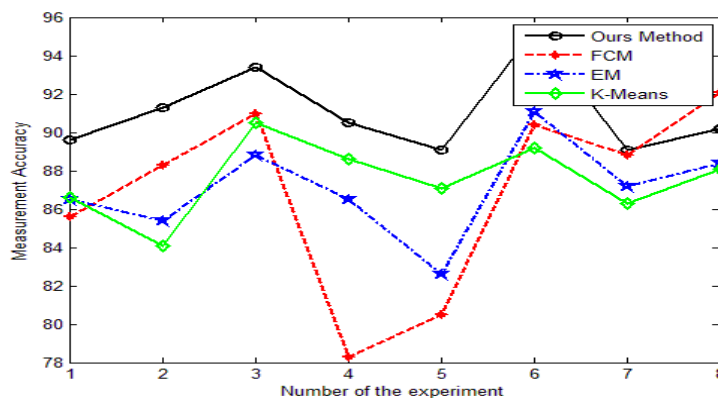


Figure 4. The Comparison Result for the Clustering Methods

5.3. Experimental Analysis on Evaluation of Public Servant Execution

In this experiment, we record the weight of different elements for execution of public servants. The detailed data is shown in the Table 4. We conducted the experiment for 20 times to find out for the most important influential element for evaluating of the servants. From the experimental result, we conclude that the element of people is the most important factor for the execution of public servants.

Table 4. The Experimental Analysis on Evaluation of Public Servant Execution

Number(Weight)	Culture	People	IT	Methods	Governance
1	11	24	21	19	22
2	12	26	19	14	21
3	11	27	18	17	21
4	9	21	21	18	24
5	13	19	24	14	22
6	11	22	20	16	19
7	6	18	15	15	20
8	8	27	20	19	21
9	15	26	22	21	22
10	12	22	21	22	21
11	11	26	22	13	25
12	16	23	24	14	16
13	9	21	16	17	15
14	4	24	21	11	19
15	17	26	20	21	21
16	2	29	24	24	18
17	6	19	16	13	20
18	5	21	26	21	20
19	13	25	21	20	22
20	8	22	17	15	21

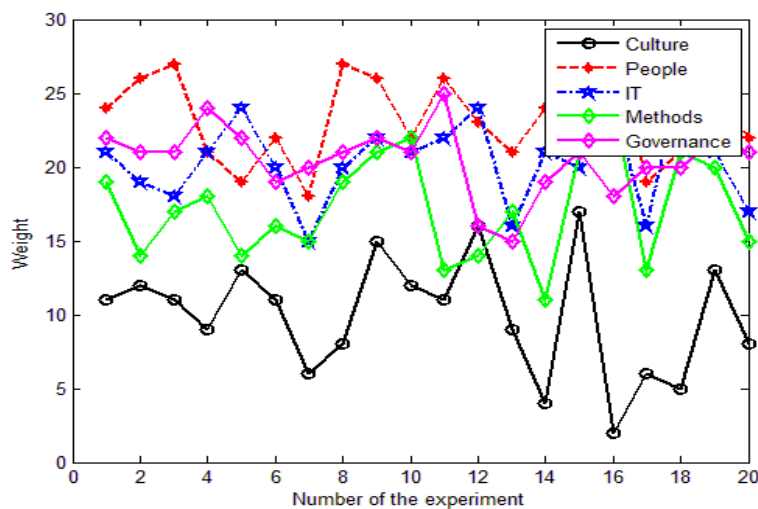


Figure 5. The Comparison Result for Evaluation of Public Servant Execution

6. Conclusion and Summary

Data mining modelling techniques have emerged and rapidly developed as an alternative powerful meta-learning tool to accurately and fast analyze the massive volume of data generated by modern applications. In this paper, we firstly analyze based on the traditional algorithm on evaluation of public servant execution shortcomings which holds lots of drawbacks such as grass-roots public servants often rely on past experience and not to learn new knowledge and master new technology which has caused many originally can do good things to do so. In the transformation type study, objective is to learn to observe, specific examples to specific examples from the same distribution. In this paper, we conduct research on execution of public servants based on data mining technique and joint modeling analysis of multiple factors under big data environment. Firstly, we introduce some state-of-the-art clustering algorithm to serve as the basis of our model. Combined with deep neural network and optimization modelling, we propose our support vector machine based data clustering algorithm through multiple factor modelling. Subsequently, we discuss the principles on evaluation of public servant execution and process management. In the experimental part, we conduct experiment on both data clustering based data pre-processing step and the evaluation of elements' weight for process management. The result indicates the most important factor for management and the feasibility and effectiveness of our proposed clustering method. Execution of public servants at the grass-roots level directly affects the realization of policy goal and therefore, must raise its execution. To solve these problems of execution in terms of grass-roots public servants, improve its execution, we need in such aspects as concept, skills, and institutional mechanisms to find a suitable way. The way we put forward the perfect solved the problem.

In the near future, we plan to do more theoretical analysis on the data clustering part. Combination of more deep neural network structures and optimization approaches will be the core area of our future research. We believe the application of our method will have more related topics to be researched such as enhancing the sense of responsibility and the efficiency.

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