

The Application of Recursive Least Squares in Synchronous Generator Parameter Identification

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Abstract

The paper took Park model of synchronous generator as its research object, derived the space model of synchronous generator on magnetic chain, which can transform with transfer function, make for the parameter calculate and identification. On the side, based on system identification theory, the paper proposes a method of synchronous generator parameter identification based on recursive least squares, we can prove its astringency in theory. Finally, program on the model parameter estimation, and simulate a synchronous generator of known parameters. The parameters' errors are identified to conform to design requirements, which show the high identification accuracy and stability of the method.

Keywords: *Synchronous generator, Recursive least squares (RLS), Parameter identification, MATLAB*

1. Introduction

The economic efficiency of the power system has been greatly improved, under the rapid development of capacity and network scale of modern power systems, but the factors of restricting safety and reliable operation are growing[1]. The accuracy of generating dynamic parameters is directly related to the stable operation of the system, in many factors. Second, the transient voltage waveform synchronous generator, the calculates of the motor impulse voltage and the design of excitation system of the motor require all accurate transient parameters[2]. The accuracy of synchronous generator parameters is of great importance for power system analysis.

The accurate and suitable mathematical model is must, in addition, the selection algorithm is very important when we are identifying for the parameter of synchronous generator [3]. In fact, Parameter identification of synchronous generator is a process of a parameter optimization, which aims to make the system output in the identification value approaching to the actual output [4]. Aiming at the characteristics of synchronous generator model, the paper analyzed the feasibility of recursive least squares parameter identification method of synchronous generator circuit, focused on the state space equations and recursive least squares identification step synchronous generator [5]. Because the model is the state equation model. We need to change it into a transfer function model for identification, it is necessary to state equation model and transfer function model for conversion, finally the article successfully solved this problem and achieved the identification process[6].

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2. The Equation State Model

The numerous studies have shown that the parameters accuracy is not high utility, stability is not good of synchronous generator parameters identification based on utility model [7]. Therefore, the paper proposes Park model with parameter identification of synchronous generator.

2.1. The Park Model of Synchronous Generator

Park transform converted the synchronous generator geostationary space coordinates a, b, c into dynamic d, q, 0 coordinate system, the resulting equations are a set of nonlinear ordinary differential equations set, called Parker equation. Because of irrelevance between d, q, 0 coordinate triaxial and constant inductance parameters reasons, Pike equations are widely used in the parametric analysis of synchronous generator [8-10].

Synchronous generator voltage equation and flux equations (in the form of unit value) are as follows:

1. Voltage equation matrix:

$$\begin{pmatrix} u_d \\ u_q \\ u_0 \\ u_f \\ u_D (=0) \\ u_Q (=0) \end{pmatrix} = \frac{d}{dt} \begin{pmatrix} \psi_d \\ \psi_q \\ \psi_0 \\ \psi_f \\ \psi_D \\ \psi_Q \end{pmatrix} + \begin{pmatrix} -\omega\psi_q \\ \omega\psi_d \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix} + \begin{pmatrix} -r_a i_d \\ -r_a i_q \\ -r_a i_0 \\ r_f i_f \\ r_D i_D \\ r_Q i_Q \end{pmatrix}$$

2. Flux equation matrix:

$$\begin{pmatrix} \psi_d \\ \psi_q \\ \psi_0 \\ \psi_f \\ \psi_D \\ \psi_Q \end{pmatrix} = \begin{pmatrix} X_d & 0 & 0 & X_{ad} & X_{ad} & 0 \\ 0 & X_q & 0 & 0 & 0 & X_{aq} \\ 0 & 0 & X_0 & 0 & 0 & 0 \\ X_{ad} & 0 & 0 & X_f & X_{ad} & 0 \\ X_{ad} & 0 & 0 & X_{ad} & X_D & 0 \\ 0 & X_{aq} & 0 & 0 & 0 & X_Q \end{pmatrix} \begin{pmatrix} -i_d \\ -i_q \\ -i_0 \\ i_f \\ i_D \\ i_Q \end{pmatrix}$$

Meaning of each component as follows:

i_d : D-axis component of the load current; i_q : q-axis component of the load current; i_f : excitation current; u_d : terminal voltage d-axis component; u_q : q-axis component of the terminal voltage; u_f : the field winding voltage; r_f : the field winding resistance; i_D : direct axis damper winding currents; i_Q : Cross-axis damper winding current; X_d : direct axis reactance; X_{ad} : direct axis reaction reactance; X_q : quadrature axis reactance; X_{aq} : cross-axis reaction reactance; X_D : direct axis damper winding reactance; X_Q : cross-axis damper winding reactance; ψ_d : direct axis flux; ψ_q : cross-axis flux; ψ_f : the field winding flux; ψ_D : direct axis damper winding flux; ψ_Q : cross-axis damper winding flux.

2.2. The State Space Model of Synchronous Generator

The studied turbogenerator have high speed in the paper, centrifugal force limit, using the characteristics of hidden structure the rotor pole[11].

So, $X_d = X_q$ and $X_{ad} = X_{aq}$ and vertical and horizontal axes of the leakage reactance is equal, it is assumed X_l to be

Assumed

$$\begin{cases} X_d - X_{ad} = X_l \\ X_q - X_{aq} = X_l \\ X_D - X_{ad} = X_{Dl} \\ X_Q - X_{aq} = X_{Ql} \\ X_f - X_{ad} = X_{fl} \end{cases}$$

And assumed

$$\begin{cases} \frac{1}{X_{md}} = \frac{1}{X_{ad}} + \frac{1}{X_l} + \frac{1}{X_{fl}} + \frac{1}{X_{Dl}} \\ \frac{1}{X_{mq}} = \frac{1}{X_{aq}} + \frac{1}{X_l} + \frac{1}{X_{Ql}} \end{cases}$$

The conclusion can be introduced by the flux equation

$$\begin{cases} \psi_d - \psi_f = -X_l i_d - X_{fl} i_f \\ \psi_f - \psi_D = X_{fl} i_f - X_{Dl} i_D \\ \psi_D - \psi_d = X_l i_d + X_{Dl} i_D \end{cases} \quad (1)$$

$$\psi_d + \psi_f + \psi_D = -(3X_{ad} + X_l) i_d + (3X_{ad} + X_{fl}) i_f + (3X_{ad} + X_{Dl}) i_D \quad (2)$$

i_d 、 i_f 、 i_D can be introduced from (1), (2) formula, which is

$$\begin{cases} i_d = -\frac{1}{X_l} \left(1 - \frac{X_{md}}{X_l}\right) \psi_d + \frac{X_{md}}{X_l X_{fl}} \psi_f + \frac{X_{md}}{X_l X_{Dl}} \psi_D \\ i_f = -\frac{X_{md}}{X_l X_{fl}} \psi_d + \frac{1}{X_{fl}} \left(1 - \frac{X_{md}}{X_{fl}}\right) \psi_f - \frac{X_{md}}{X_{fl} X_{Dl}} \psi_D \\ i_D = -\frac{X_{md}}{X_l X_{Dl}} \psi_d - \frac{X_{md}}{X_{fl} X_{Dl}} \psi_f + \frac{1}{X_{Dl}} \left(1 - \frac{X_{md}}{X_{Dl}}\right) \psi_D \end{cases} \quad (3)$$

Similarly, you can launch. Which is i_q 、 i_Q

$$\begin{cases} i_q = -\frac{1}{X_l} \left(1 - \frac{X_{mq}}{X_l}\right) \psi_q + \frac{X_{mq}}{X_l X_{Ql}} \psi_Q \\ i_Q = -\frac{X_{mq}}{X_l X_{Ql}} \psi_q + \frac{1}{X_{Ql}} \left(1 - \frac{X_{md}}{X_{Ql}}\right) \psi_Q \end{cases} \quad (4)$$

Substituting (3), (4) formula into synchronous generator voltage equations model, flux can be obtained from state variables .

$$\begin{cases} \dot{\psi} = A(\alpha) \psi(\alpha) + u \\ i = C(\alpha) \psi(\alpha) \end{cases} \quad (5)$$

System matrix is:

$$A(\alpha) = \begin{bmatrix} -r_a A_{11} & r_a A_{12} & r_a A_{13} & \omega & 0 \\ -r_f A_{21} & -r_f A_{22} & -r_f A_{23} & 0 & 0 \\ r_D A_{31} & r_D A_{32} & r_D A_{32} & 0 & 0 \\ -\omega & 0 & 0 & r_a A_{44} & r_a A_{45} \\ 0 & 0 & 0 & r_Q A_{54} & r_Q A_{55} \end{bmatrix}$$

Output matrix is:

$$C(\alpha) = \begin{bmatrix} A_{11} & A_{12} & A_{13} & 0 & 0 \\ A_{21} & A_{22} & A_{23} & 0 & 0 \\ 0 & 0 & 0 & A_{44} & A_{45} \end{bmatrix}$$

Each component as follows:

$$A_{11} = -\frac{1}{X_l} \left(1 - \frac{X_{md}}{X_l}\right) \quad A_{12} = \frac{X_{md}}{X_l X_{fl}} \quad A_{13} = \frac{X_{md}}{X_l X_{Dl}}$$

$$A_{21} = -\frac{X_{md}}{X_l X_{fl}} \quad A_{22} = \frac{1}{X_{fl}} \left(1 - \frac{X_{md}}{X_{fl}}\right) \quad A_{23} = -\frac{X_{md}}{X_{fl} X_{Dl}}$$

$$A_{31} = \frac{X_{md}}{X_l X_{Dl}} \quad A_{32} = \frac{X_{md}}{X_{fl} X_{Dl}} \quad A_{33} = -\frac{1}{X_{Dl}} \left(1 - \frac{X_{md}}{X_{Dl}}\right)$$

$$\psi = [\psi_d \quad \psi_f \quad \psi_D \quad \psi_q \quad \psi_Q]^T$$

$$A_{44} = -\frac{1}{X_l} \left(1 - \frac{X_{mq}}{X_l}\right) \quad A_{45} = \frac{X_{mq}}{X_l X_{Ql}} \quad A_{54} = \frac{X_{mq}}{X_l X_{Ql}} \quad A_{55} = -\frac{1}{X_{Ql}} \left(1 - \frac{X_{mq}}{X_{Ql}}\right)$$

State phasor

Control pharos $u = [u_d \quad u_f \quad 0 \quad u_q \quad 0]^T$

Measuring phasor $i = [i_d \quad i_f \quad i_q]^T$

3. Synchronous Generator Parameter Identification

The least squares method is computationally simple, easy to understand of the principle, it has a faster convergence rate, characteristic easy to understand and program, and it has not any requirement for the statistical properties of random variables, it is very widely used in the system of parameter estimates [12-13].

In the state estimation of power system, parameter identification and adaptive control, the least squares method has very broad application prospects.

3.1. The Basic Theory of Least Squares Algorithm

Seeking squared sum of the differences between models actually observed values and calculated values, when it is minimum; Substituting observed values into the model output equation, the output value of the actual process output value is obtained at this time close. Namely the least squares estimates is the parameter values make the objective function minimum.

3.2. MIMO Linear System Parameter Identification

In the state-space model, when the number of unknown parameters is less than the length of the measurement data, the minimum error solution of the objective function is easy to find, the model parameters can be identified [14]. For MIMO linear systems (r input m output), the transfer function matrix is:

$$G(z) = \frac{1}{A(z^{-1})} \begin{bmatrix} B_{11}(z^{-1}) & B_{12}(z^{-1}) & \cdots & B_{1r}(z^{-1}) \\ B_{21}(z^{-1}) & B_{22}(z^{-1}) & \cdots & B_{2r}(z^{-1}) \\ \vdots & \vdots & \ddots & \vdots \\ B_{m1}(z^{-1}) & B_{m2}(z^{-1}) & \cdots & B_{mr}(z^{-1}) \end{bmatrix} \quad (6)$$

In the above formula:

$$\begin{cases} A(z^{-1}) = 1 + a(1)z^{-1} + a(2)z^{-2} + \cdots + a(n_a)z^{-n_a} \\ B_{ij}(z^{-1}) = z^{-d_{ij}} [b_{ij}(0) + b_{ij}(1)z^{-1} + \cdots + b_{ij}(n_{b_{ij}})z^{-n_{b_{ij}}}] \\ i = 1, 2, \dots, m; j = 1, 2, \dots, r \end{cases}$$

The multivariable system can be described as

$$A(z^{-1})y(k) = B(z^{-1})u(k) + A(z^{-1})\xi(k) \quad (7)$$

In the formula $\xi(k) = [\xi_1(k), \xi_2(k), \dots, \xi_m(k)]^T$, $\xi_i(k)$ is White noise, and

$$\begin{cases} E\{\xi(k)\} = 0 \\ Cov\{\xi(k)\} = \sigma_\xi^2 I_m \\ E\{\xi(k)\xi^T(j)\} = \sigma_\xi^2 \delta_{kj} I_m \end{cases}$$

Multivariable system can be seen as composed by the m independent univariate system components, the i-th sub-systems can be expressed as

$$A(z^{-1})y_i(k) = \sum_{j=1}^r B_{ij}(z^{-1})u_j(k) + e_i(k) \quad i = 1, 2, \dots, m \quad (8)$$

In the eighth formula:

$$e_i(k) = A(z^{-1})\xi_i(k) \quad (9)$$

Supposed: $\theta = [a^T, \theta_1^T, \theta_2^T, \dots, \theta_m^T]^T$

$$\text{Among } \begin{cases} a = [a(1), a(2), \dots, a(n_a)]^T \\ \theta_i = [b_{i1}^T, b_{i2}^T, \dots, b_{ir}^T]^T \\ b_{ij} = [b_{ij}(0), b_{ij}(1), \dots, b_{ij}(n_{bij})]^T \end{cases}$$

$$y(k) = [y_1(k), y_2(k), \dots, y_m(k)]^T \quad e(k) = [e_1(k), e_2(k), \dots, e_m(k)]^T$$

$$\phi(k) = \begin{bmatrix} y_1^T(k) & \bar{u}_1^T & 0 & \dots & 0 \\ y_2^T(k) & 0 & \bar{u}_2^T & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ y_m^T(k) & 0 & 0 & \dots & \bar{u}_m^T \end{bmatrix}$$

Among

$$y_i = [-y_i(k-1), -y_i(k-2), \dots, -y_i(k-n_a)]^T \quad \bar{u}_i = [\bar{u}_{i1}^T(k), \bar{u}_{i2}^T(k), \dots, \bar{u}_{ir}^T(k)]^T$$

$$u_{ij}(k) = [u_j(k-d_{ij}), u_j(k-d_{ij}-1), \dots, u_j(k-d_{ij}-n_{bij})]^T \quad i = 1, 2, \dots, m; j = 1, 2, \dots, r$$

Then (7) can be written as:

$$y(k) = \phi(k)\theta + e(k) \quad (10)$$

In the formula(9), $e_i(k)$ is the colored noise, the unbiased consensus estimate of system parameters can be acquired by maximum likelihood method or extended least squares method; however, if $e_i(k)$ is very small, it can be approximated as white noise process. This time, instead of the above method with least squares to parameter estimation, the following method used is the least squares [15].

It is seen by the least squares method, least squares parameter estimation formula of multiple-input multiple-output system is:

$$\begin{cases} \hat{\theta}(k) = \hat{\theta}(k-1) + K(k)[y(k) - \phi(k)\hat{\theta}(k-1)] \\ K(k) = P(k-1)\phi^T(k)[I_m + \phi(k)P(k-1)\phi^T(k)]^{-1} \\ P(k) = [I - K(k)\phi(k)]P(k-1) \end{cases}$$

MIMO system recursive least squares estimation steps is showed in Figure 1

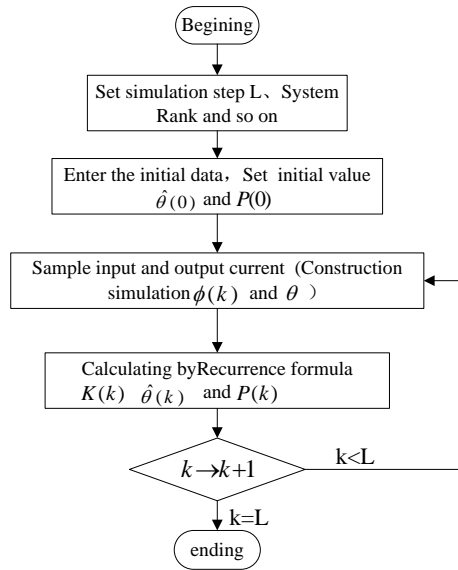


Figure 1. Flow Chart Of Recursive Least Squares Parameter Identification

4. Parameter Identification Simulation of Synchronous Generator

In the above state equation of multivariate synchronous generator [16-17], the parameters will be identified is $\alpha = [X_d, X_{fd}, X_{D1}, X_{md}, X_{Q1}, X_{mq}, r_a, r_f, r_D, r_Q]$

A100MW synchronous generator with the following parameters (per unit):

$$X_d = 1.0 \quad X_{ad} = 0.85 \quad X_f = 1.03 \quad X_D = 0.95 \quad X_q = 0.6 \quad X_{aq} = 0.45$$

$$X_Q = 0.7 \quad r_a = 0.005 \quad r_f = 0.000656 \quad r_D = 0.00151 \quad r_Q = 0.00159$$

Parameters can be exported:

$$X_l = 0.15 \quad X_{fl} = 0.18 \quad X_{D1} = 0.10 \quad X_{Q1} = 0.25 \quad X_{md} = 0.0427 \quad X_{mq} = 0.0776$$

Substituting the parameters into the formula (5) that it can be given:

$$A(\alpha) = \begin{bmatrix} 0.02385 & 0.0079 & 0.01423 & 1 & 0 \\ 0.001 & 0.00278 & 0.00156 & 0 & 0 \\ 0.0043 & 0.00358 & 0.00865 & 0 & 0 \\ -1 & 0 & 0 & 0.016 & 0.01035 \\ 0 & 0 & 0 & 0.0033 & 0.0044 \end{bmatrix} \quad C(\alpha) = \begin{bmatrix} 4.77 & 1.58 & 2.85 & 0 & 0 \\ -1.58 & -4.238 & -2.37 & 0 & 0 \\ 0 & 0 & 0 & 3.218 & 2.07 \end{bmatrix}$$

This system is a multi-input multi-output system, its transfer function matrix is

$$G(s) = \frac{1}{A(s)} \begin{bmatrix} B_{11}(s) \\ B_{21}(s) \\ B_{31}(s) \end{bmatrix}$$

Where in $A(s) = 1.0000s^5 - 0.0557s^4 + 1.0010s^3 - 0.0158s^2 + 0.0001s - 0.0000$

$$\begin{cases} B_{11}(s) = 9.2000s^4 + 4.5326s^3 + 4.4191s^2 - 0.0286s + 0.0000 \\ B_{21}(s) = -8.1880s^4 - 1.2585s^3 - 6.6177s^2 + 0.0573s - 0.0001 \\ B_{31}(s) = 5.2880s^4 - 3.4117s^3 + 2.0443s^2 - 0.0235s + 0.0000 \end{cases}$$

The input amount provided is not relevant white noise with unit variance, output is uncorrelated white noise of 0.1variance, remove mean $P(0) = 10^6 I, \hat{\theta}(0) = 0$, the recursive

least squares algorithm can be used to derive the unbiased consensus estimate of the unknown parameters [18].

Figure 2, Figure 3, Figure 4, Figure 5 shows the respective parameter identification result of transfer function model. The parameters obtained by the identification results can get parameter of state equation through of mutual conversion, we substituted it into synchronous generator voltage equation (5) of the magnetic chain variable, we can be obtained result of parameter identification, as shown in Table 1.

By recognition result, we will be estimating for each to be identified parameter with recursive least squares method, the resulting error of identification value and the true value of is not more than 3.3%, the result meet the requirements of errors. It shows the validity of the method.

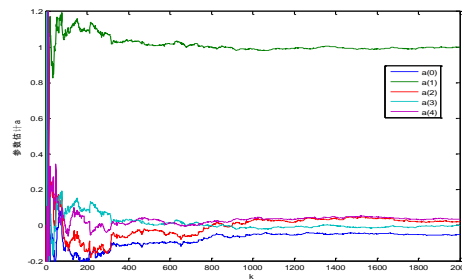


Figure 2. The Recognition Result of $A(s)$

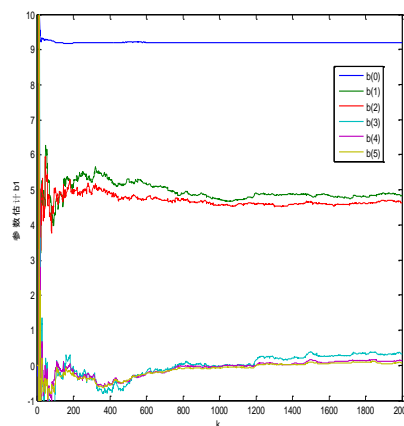


Figure 4. The Recognition Result of $B_{II}(s)$

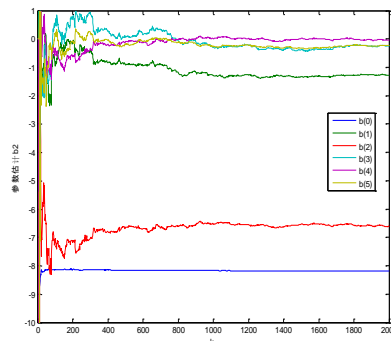


Figure 4. The Recognition Result of $B_{21}(s)$

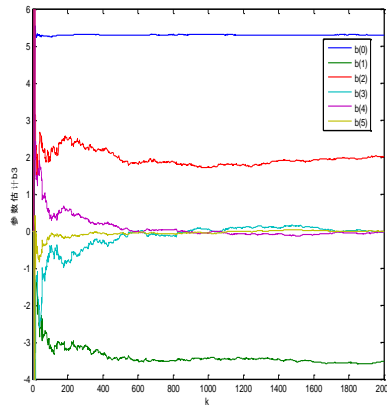


Figure5. The Recognition Result of $B_{3I}(s)$

Table 1. The Identified Parameter

parameter	true value	identified value	error (%)
X_l	0.15	0.1512	0.8
X_{β}	0.18	0.1745	3.1
X_{Dl}	0.10	0.1021	2.1
X_{mdl}	0.0427	0.0425	0.5
X_{Ql}	0.25	0.2545	1.8
X_{mq}	0.0776	0.0795	2.5
r_a	0.005	0.00514	2.8
r_f	0.000656	0.000671	2.3
r_D	0.00151	0.001554	2.9
r_Q	0.00159	0.001643	3.3
Maximum error (%)	0	-	3.3

5. Conclusion

Aiming the problem that identified parameters are more of synchronous generator model, The state space model was derived on the basis of the synchronous generators Park model ,and the derivation steps of the recursive least squares algorithm was given. Theory and simulation results showed that the principle of parameter identification of the state equation of synchronous generator model using the recursive least squares algorithm is simple and easy to understand, clear of physical processes, good of identify effect. However, due to variable of state equation based on park model is more, the approximation linear process creating a greater error between the measurement equation and the state equation, coupled with the algorithm itself need also to be improved, which directly affected the accuracy of identification. Recursive algorithm can be improved or added forgetting factor, this will further improve the recognition accuracy and authenticity.

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