

# Wideband Stochastic Chaotic Signal and Its Application for Radar Imaging

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## Abstract

*A novel model of stochastic chaotic radar waveform with stochastically stepped carrier frequencies is proposed, in which, a nonlinear system generating an unpredictable aperiodic stochastic chaotic signal (SCS) is used to control stepping of carrier frequencies. The stochastic chaotic frequency-modulation (SCFM) signal is used as the baseband noise signal, which is truly random and has a thumbtack ambiguity function (AF). SCS can be used to estimate velocity and for imaging of high-speed targets. Wideband signal is obtained by coherently synthesizing for high-resolution range (HRR) imaging. Finally, numerical simulations show the effectiveness of the proposed signal model and corresponding algorithms.*

**Keywords:** *stochastic chaotic, noise radar, velocity estimation, stepped frequency*

## 1. Introduction

Random signal radar (RSR) has “thumbtack” ambiguity function with high-resolution of in range and speed as well as high measurement accuracy [1, 2]. The advantages of RSR are strong anti-interference ability, low probability of intercept, good electromagnetic compatibility, etc [3, 4]. Although random noise has an excellent autocorrelation function, its generation, replication and control process are very complicated. Consequently, it is difficult to obtain an ideal noise signal. Instead, a pseudo-random signal is utilized as noise radar signal source.

Chaotic signals show noise-like characteristics, and many excellent radar waveforms have been designed using existing chaotic systems. In addition, wideband radar signals are obtained by chaotic modulation. However, intrinsic deterministic nature of such systems determine the internal structure and determinacy of corresponding signals, and, strictly speaking, no true random waveform is available by this approach. Especially after different modulation, the properties of many modulated chaotic signals become poor and cannot be used for high resolution radar imaging. To improve the performance of radar waveforms, several improvements were made on existing systems by different means [5-11]. These include a chaos sampled method to reduce correlation between samples [5], a waveform design based on Lorenz system by adjusting parameter [7, 8], and obtaining expected chaotic sequences by modifying existing chaotic systems [9, 10]. All these methods lack strict systematic theory and universality.

To achieve high-resolution radar imaging, a wideband signal is required. [12] proposed stepped-frequency signal, which can synthesize bandwidth, but its ambiguity function has high sidelobes [13]. To overcome this shortcoming, [14] proposed stepped-frequency Chirp signal (SFCS), but SFCS is sensitive to coherent jamming [15]. Later, noise signal took the place of chirp signal, then the stepped-frequency noise signal (SFNS) came out

[16-19].

In order to get accurate velocity estimation, we adopt a new search method based on the AF of SCFM signal, which consists of a two-stage process: a rough search followed by an accurate search. The former can ensure the estimation error no more than the velocity resolution, and the latter can get an accurate velocity estimation by using the golden section search (GSS) algorithm.

## 2. Stochastic Chaotic Signal

Stein and Ulam were the first to prove [20]

$$X_n = \sin^2(\theta\pi 2^n) \quad (1)$$

is a general solution to the Logistic map,  $n$  is a natural number and  $\theta$  is a non-zero real number. Since then, more and more exact solutions to other chaotic maps have been found [20], and all those can be written in a general form

$$X_n = P(\theta Tz^n) \quad (2)$$

where  $P(\square)$  is a periodic function with the period  $T$ ,  $z$  is an integral number, and  $P(\theta T)$  defines the initial value. Since Eq. (2) is a deterministic process, when  $z$  is an integral number,  $X_n$  in Eq. (2) will be a deterministic sequence as well. When  $z$  is non-integer, however,  $X_n$  will be quite different [22].

Assume that  $z = p/q$  is a rational number,  $p$  and  $q$  are co-prime. It can be proved that if there are  $m+1$  samples ( $m$  is an arbitrary natural number)  $X_0, X_1, X_2, \dots, X_m$  generated from Eq.(2), one cannot predict the value of the next sample.

We define the sequences parameterized by integral number  $k$  as follows:

$$X_n^{(k,m)} = \sin^2 \left[ \pi \left( \theta_0 + q^m k \right) \left( \frac{p}{q} \right)^n \right] \quad (3)$$

The first  $m+1$  values are the same since that

$$X_n^{(k,m)} = \sin^2 \left[ \pi \theta_0 \left( \frac{p}{q} \right)^n + \pi k p^n q^{m-n} \right] = \sin^2 \left[ \pi \theta_0 \left( \frac{p}{q} \right)^n \right] \quad (4)$$

holds for all  $n \leq m$ . So there are infinite sequences which have same first  $m+1$  values. However, the value of the next point

$$X_{m+1}^{(k,m)} = \sin^2 \left[ \pi \theta_0 \left( \frac{p}{q} \right)^{m+1} + \pi k \frac{p^{m+1}}{q} \right] \quad (5)$$

is uncertain. Generally,  $X_n^{(k,m)}$  can take  $q$  different values, which means that  $X_n^{(k,m)}$  is forward unpredictable. It can be proved that  $X_n^{(k,m)}$  is backward unpredictable as well.

The sequence family is defined as

$$X_n^{(k,m,s)} = \sin^2 \left[ \pi \left( \theta_0 + q^m k \right) \left( \frac{q}{p} \right)^s \left( \frac{p}{q} \right)^n \right] \quad (6)$$

where  $k, m, s$  are integral numbers. The value  $X_{s-1}$  is uncertain with the known string  $X_s, X_{s+1}, X_{s+2}, \dots, X_{s+m}$ , since

$$X_{s-1}^{(k,m,s)} = \sin^2 \left[ \pi \left( \theta_0 + q^m k \right) \left( \frac{q}{p} \right)^s \left( \frac{p}{q} \right)^{s-1} \right] = \sin^2 \left( \pi \theta_0 \frac{q}{p} + \pi k \frac{q^{m+1}}{p} \right) \quad (7)$$

Thus,  $X_{s-1}$  has  $p$  different possible values. When  $z$  is an irrational number, the past and future points can take infinite values. Therefore, such sequences have true randomness.

Based on the analysis of stochastic behavior in Eq. (2), which can be expressed in a

general form

$$X_n = P(\theta_0 h(n)) \quad (8)$$

where  $h(n)$  is an exponential function and  $P(\cdot)$  is a periodic function, which correspond to "stretching" and "folding" in generating mechanism of chaos respectively, and  $\theta_0$  is an initial value.

By popularizing the aforementioned idea to a continuous time system, we can construct an even simpler chaotic signal given by

$$X(t) = P(A \exp[Q(t)]) \quad (9)$$

where  $P(\cdot)$  is a periodic function and  $Q(\cdot)$  is bounded a pseudo-periodic function. Consequently,  $A \exp[Q(t)]$  oscillates aperiodically within an interval.

According to Eq. (9), we can construct a stochastic chaotic signal, whose Lyapunov exponent can be calculated analytically as follows:

$$X(t) = \Phi(t) (\text{mod } 1) - 0.5 \quad (10)$$

$$Q(t) = E_1(t) + E_2(t) + E_3(t), E_i(t) = ct - ckT_i, kT_i \leq t \leq (k+1)T_i \quad (11)$$

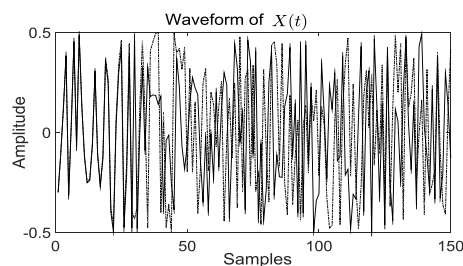
where  $\Phi(t) = \exp[Q(t)]$ ,  $c, T_i > 0, i=1,2,3$ ,  $k$  is an integral number,  $T_2/T_1, T_3/T_1$  etc. are irrational numbers. The theoretical Lyapunov exponent of  $X(t)$  is  $\lambda = \ln 3c$  [23].

A time-series is generated by Eq. (10) with  $c=1, T_1=\pi, T_2=\sqrt{3}+2, T_3=3$ .

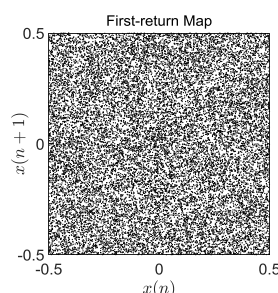
**Figure 1** shows the waveform of  $X(t)$  with initial value  $\Phi(0)=1.2$  and 1.20001 respectively. It can be seen from

**Figure 1** that the trajectories of  $X(t)$  are sensitive to the initial values.

Figure 2 is the first-return map of  $X(t)$ . For notational convenience,  $X(t)$  is noted as SCS (Stochastic Chaotic Signal) hereinafter.



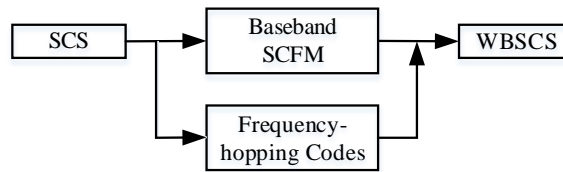
**Figure 1. Stochastic Chaotic Signal Waveforms**



**Figure 2. First-Return Map of Stochastic Chaotic Signal**

### 3. Stochastic Chaotic Signals with Randomly Stepped Carrier Frequencies

Figure 3 depicts the generation procedure of wideband stochastic chaotic signal (WBSCS), in which frequency-hopping codes (FHC) and SCFM are generated from SCS, the former are used to control stepped carrier frequencies and latter to form WBSCS by frequency modulation.



**Figure 3. Generation Procedure of WBSCS**

#### 3.1. Baseband SCFM Signal

Firstly, the baseband noise SCFM signal by frequency modulation based on the SCS can be expressed as

$$g(t) = A \exp\left\{j2\pi \int_0^t X(\tau) d\tau\right\} \quad (12)$$

The ambiguity function is always used to investigate radar signals for their resolution performance [24]. According to [25], we define the AF of  $g(t)$  as follows

$$|\chi(\tau, f_d)|^2 = \left| \int_{-\infty}^{\infty} g^*(t) g(t-\tau) \exp(-j2\pi f_d t) dt \right|^2 \quad (13)$$

where  $\tau$ ,  $f_d$  are time delay and Doppler shift respectively.

Letting  $f_d = 0$ , we get the range cut of the AF

$$|\chi(\tau, 0)|^2 = \left| \int_{-\infty}^{\infty} g^*(t) g(t-\tau) dt \right|^2 \quad (14)$$

Letting  $\tau = 0$ , we obtain the Doppler frequency cut of the AF

$$\begin{aligned} |\chi(0, f_d)|^2 &= \left| \int_{-\infty}^{\infty} g^*(t) g(t) \exp(-j2\pi f_d t) dt \right|^2 = \left| \int_{-\infty}^{\infty} |g(t)|^2 \exp(-j2\pi f_d t) dt \right|^2 \\ &= \left| \int_{-T_p/2}^{T_p/2} A^2 \exp(-j2\pi f_d t) dt \right|^2 = \left| A^2 \frac{\sin(\pi f_d T_p)}{\pi f_d T_p} \right|^2 \end{aligned} \quad (15)$$

where  $T_p$  is the time duration (TD) of the SCFM signal. The Doppler resolution  $df_d$  is determined by  $T_p$

$$df_d = \frac{1}{T_p} \quad (16)$$

The relation between  $v$  and  $f_d$  is

$$f_d = \frac{2vf_c}{c} \quad (17)$$

and the velocity resolution is

$$dv = df_d \cdot \frac{c}{2f_c} = \frac{c}{2f_c \cdot T_p} \quad (18)$$

### 3.2. Frequency-Hopping Code

For the range of SCS is  $X_n \in [-1/2, 1/2]$ , which cannot be used directly to control the steps of carrier frequencies. To map the SCS sequence to the range of FHC  $c_n \in \{0, 1, \dots, N-1\}$ , we define

$$X_n^* = \left\lfloor \left( X_n + \frac{1}{2} \right) * N \right\rfloor \quad (19)$$

where  $\lfloor \cdot \rfloor$  round down to the nearest integer. In order to eliminate the correlation of  $X_n^*$ , we remove  $m-1$  from every  $m$  values to get the following sequence

$$\hat{X}_n = X_{n^*m}^*, \quad n \in \left[ 0, \left\lfloor \frac{N}{m} \right\rfloor \right] \quad (20)$$

Then we just select the different values to form  $c_n$ .

### 3.3. Transmitted Signal

Stochastic chaotic signals with randomly stepped carrier frequencies include a set of subpulses with randomly stepped frequencies, and each subpulse is a narrowband stochastic chaotic signal.

Suppose  $f_0$ ,  $\Delta f$  denote the start carrier frequency and frequency step, respectively. Then, carrier frequency of the  $N$ th subpulse is  $f_n = f_0 + c_n \Delta f$ , where  $c_n \in \{0, 1, \dots, N-1\}$  is FHC and  $N$  is the number of subpulses.

The proposed signal model can be mathematically expressed as

$$\begin{aligned} s(t) &= g_n(\hat{t}) \cdot \exp(j2\pi f_n t) \cdot \text{rect}\left(\frac{t - nT_r}{T_p}\right) \\ \hat{t} &= t - t_n, \quad 0 \leq \hat{t} \leq T_p \\ t_n &= nT_r, \quad n = 0, 1, \dots, N-1; \end{aligned} \quad (21)$$

where  $g_n(\hat{t})$  is the  $n$ th subpulse,  $\hat{t}$  fast-time,  $t_n$  the starting time of the  $n$ th subpulse,  $T_r$  the subpulse interval,  $T_p$  the duration of each subpulse, and  $\text{rect}(\square)$  the rectangle window function

$$\text{rect}(x) = \begin{cases} 1, & 0 \leq x \leq 1 \\ 0, & \text{otherwise} \end{cases} \quad (22)$$

### 3.4. Received Signal

Suppose all targets have a constant velocity  $v$ , and locate  $R_k$  ( $k=1, 2, \dots, K$ ) m apart away from the radar. The instantaneous ranges are

$$R_k(t_n, \hat{t}) = R_k - v(t_n + \hat{t}) \quad (23)$$

Therefore, the received signal is

$$s_r(t_n, \hat{t}) = \sum_{k=1}^K A_k g_n \left[ \hat{t} - \frac{2R_k(t_n, \hat{t})}{c} \right] \cdot \exp \left[ j2\pi f_n \left( t - \frac{2R_k(t_n, \hat{t})}{c} \right) \right] \quad (24)$$

The baseband form of received signal is

$$\begin{aligned}
 s_{br}(t_n, \hat{t}) &= \sum_{k=1}^K A_k g_n \left[ \left( 1 + \frac{2v}{c} \right) \hat{t} - \frac{2R_k}{c} + \frac{2vt_n}{c} \right] \\
 &\quad \cdot \exp \left[ -j2\pi f_n \left( \frac{2R_k}{c} - \frac{2v(t_n + \hat{t})}{c} \right) \right] \\
 &\approx \sum_{k=1}^K \tilde{A}_k g_n \left[ \hat{t} - \frac{2R_k}{c} + \frac{2vt_n}{c} \right] \cdot \exp \left( \frac{j4\pi f_n vt_n}{c} \right) \cdot \exp \left( \frac{j4\pi f_n v \hat{t}}{c} \right)
 \end{aligned} \tag{25}$$

where  $\tilde{A}_k = A_k \exp(-j4\pi f_n R_k / c)$ . Frequency domain representation of baseband received signal is

$$S_{br}(t_n, f) = \left\{ \sum_{k=1}^K \tilde{A}_k G_n(f - f_{dn}) \cdot \exp \left( \frac{-j4\pi f R_k}{c} \right) \cdot \exp \left[ \frac{j4\pi(f + f_n)vt_n}{c} \right] \right\} \tag{26}$$

where  $G_n(f)$  is the spectrum of  $g_n(\hat{t})$ ,  $f_{dn}$  is the Doppler shift to  $f_n$ ,  $\exp(-j4\pi f R_k / c)$  is induced phase of the  $k$ th target owing to the initial range,  $\exp(j4\pi f_n vt_n / c)$  is induced phase of the  $n$ th subpulse owing to the Doppler effect.

## 4. Velocity Estimation and Bandwidth Synthesis

### 4.1. Subpulse Compression and Velocity Estimation

Suppose  $v_{ref}$  and  $R_{ref}$  are the reference velocity and the reference range, respectively. In frequency domain, the reference signal can be expressed as

$$S_{ref}(t_n, f) = G_n(f - f_{dn\_ref}) \cdot \exp \left( \frac{-j4\pi f R_{ref}}{c} \right) \cdot \exp \left[ \frac{j4\pi(f + f_n)v_{ref}t_n}{c} \right] \tag{27}$$

where  $f_{dn\_ref}$  is the Doppler shift. Then, the output of matched filter is

$$\begin{aligned}
 S(t_n, f) &= S_{br}(t_n, f) \cdot S_{ref}^*(t_n, f) \\
 &= \sum_{k=1}^K \tilde{A}_k G_n(f - f_{dn}) \cdot G_n^*(f - f_{dn\_ref}) \\
 &\quad \cdot \exp \left[ \frac{-j4\pi f \Delta R_k}{c} \right] \cdot \exp \left[ \frac{j4\pi(f + f_n)\Delta vt_n}{c} \right]
 \end{aligned} \tag{28}$$

where  $\Delta R_k = R_k - R_{ref}$ ,  $\Delta v = v - v_{ref}$ . The IFFT of  $S(t_n, f)$  is

$$R_n = F^{-1} \{ S(t_n, f) \} \tag{29}$$

#### 4.1.1. Coarse Estimation of Velocity

In order to get credible results, we take  $N$  subpulses for consideration and average the matched-filtering results to get  $\bar{R}_{peak}$ . Suppose  $RPM = \bar{R}_{peak} / \bar{R}_{mean}$  denote the objective function, where  $\bar{R}_{mean}$  is the average amplitude, and when

$$20 * \lg(RPM) > \text{PSLR} \tag{30}$$

it is considered that  $|v_{ref} - v| < dv$ , where  $v_{ref}$  is reference velocity,  $v$  is the target velocity,  $dv$  is the velocity resolution, PSLR is the peak-sidelobe ratio of the CSFM signal.

#### 4.1.2 Precise Estimation of Velocity

Since the mainlobe of SINC function has only one peak, we can get an accurate estimation of the velocity by the simple GSS algorithm [25]. The steps of GSS algorithm

are as follows:

- 1): Determine the initial velocities. If the target move toward the radar, the initial velocities is set as  $v_a = v_c, v_b = v_c + dv$ . Otherwise, the initial value is set as  $v_a = v_c - dv, v_b = v_c$ ;
- 2): Let  $x_1 = v_b - 0.618*(v_b - v_a)$  and  $x_2 = x_a + 0.618*(v_b - v_a)$  as two reference velocities, respectively, then calculate  $\bar{R}_{peak}(x_1)$  and  $\bar{R}_{peak}(x_2)$ ;
- 3): If  $\bar{R}_{peak}(x_1) = \bar{R}_{peak}(x_2)$ , it means that we have get the accurate velocity. Considering the effect of thermal noise, we compare  $|\bar{R}_{peak}(x_1)/\bar{R}_{peak}(x_2) - 1|$  with a small number  $\varepsilon$ , (in this paper,  $\varepsilon = 0.0001$ ). If  $|\bar{R}_{peak}(x_1)/\bar{R}_{peak}(x_2) - 1| < \varepsilon$ , we go to 5), otherwise to 4);
- 4): If  $\bar{R}_{peak}(x_1) < \bar{R}_{peak}(x_2)$ , let  $v_a = v_a, v_b = x_2$ ; otherwise if  $\bar{R}_{peak}(x_1) > \bar{R}_{peak}(x_2)$ , let  $v_a = x_1, v_b = v_b$ . Then we go back to 2);
- 5):  $x = (x_1 + x_2)/2$  is the accurate estimation of velocity.

#### 4.2. Coherent Bandwidth Synthesis

After motion compensation with the final velocity estimation, we can get the spectrum of each subpulse. The spectrum of all subpulses are coherently synthesized to form a much wider signal spectrum. The formulation of synthesized spectrum is

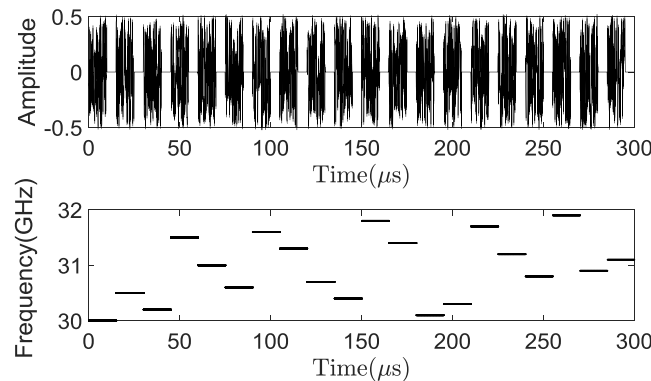
$$S_{syn}(f) \approx \sum_{n=1}^N \sum_{k=1}^K \tilde{A}_k |S(t_n, f - c_n \Delta f)|^2 \cdot \exp\left\{ \frac{-j4\pi[f - c_n \Delta f] \Delta R_k}{c} \right\} \quad (31)$$

Then the HRR imaging is obtained by performing IFFT operation on  $S_{syn}(f)$  [15]

$$s(t) = \text{IFFT}\{S_{syn}(f)\} \quad (32)$$

### 5. Numerical Simulation and Discussion

The transmitted radar waveform is shown in Figure 4, it's easy to see the baseband SCFM signals are random waveforms.



**Figure 4. Transmitted Radar Waveforms, (A) The Inphase Components of SCFM Signals, (B) The Carrier Frequencies**

**Table 1** lists the simulation parameters. The subpulse bandwidth  $B = 105$  MHz and the bandwidth of synthesized signal  $B_{syn} = 2005$  MHz, which corresponding range resolution are about 1.5 m and 0.075 m, respectively.

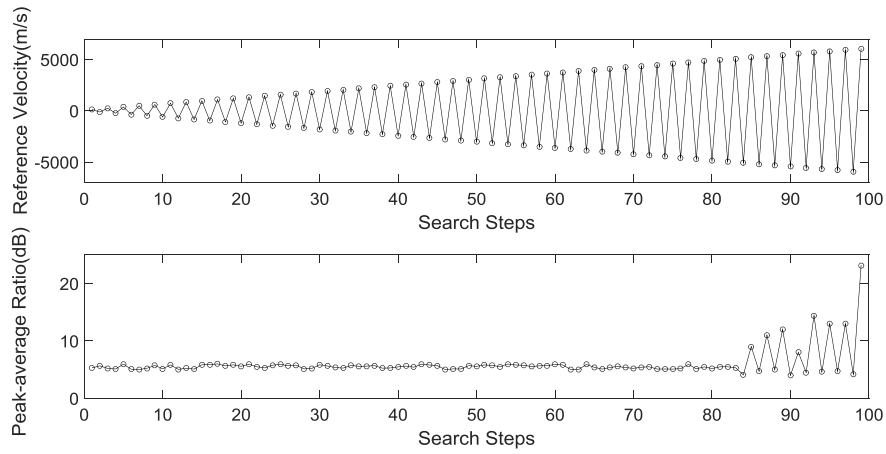
**Table 1. Simulation Parameters**

parameters	values
$T_p$	10 $\mu$ s
$T_r$	15 $\mu$ s
$B$	105 MHz
$f_0$	30 GHz
$\Delta f$	100 MHz
$N$	20
$B_{syn}$	2005 MHz
Targets position	[3688, 3688.5, 3698, 3699] m
Radar cross section	[1.0, 1.0, 1.0, 1.0]
Velocity	6300 m/s
SNR	10 dB

In coarse search, we should first determine the search step (SS) and threshold of peak-average ration (PAR), in this paper, SS=121 m/s and PAR=20 dB, respectively. Figure 5 gives the search processes and results. It is clear that when  $|v_{ref} - v| > dv$ , the PARs are much smaller than 20 dB. However, when  $|v_{ref} - v| < dv$ , the PARs are very close to 20 dB, or even larger than 20 dB. In the end, the coarse velocity estimation is 6050 m/s, and the corresponding PAR is 23.15 dB.



**Table 2** lists the results of accurate velocity search. The GSS algorithm can ensure the estimation converge to the true value with the search goes on, and finally an accurate velocity estimation  $(6295.19+6305.49)/2=6300.34$  m/s is obtained with a small relative error of just 0.0054%.

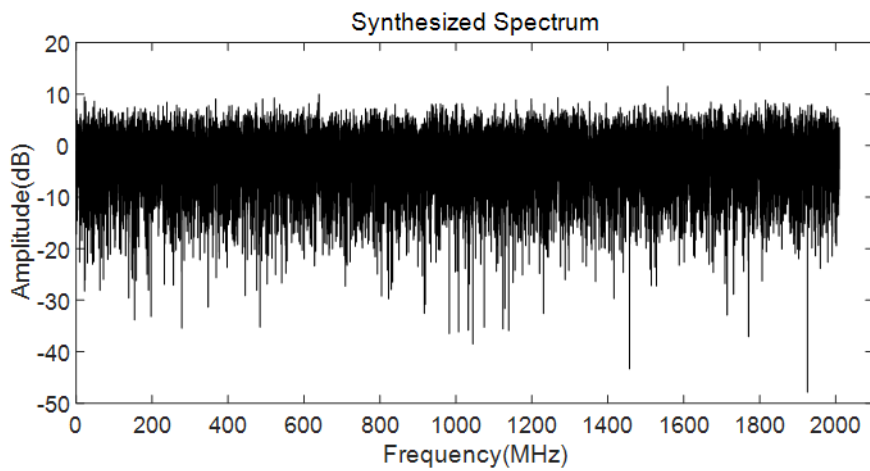


**Figure 5. The Coarse Search**

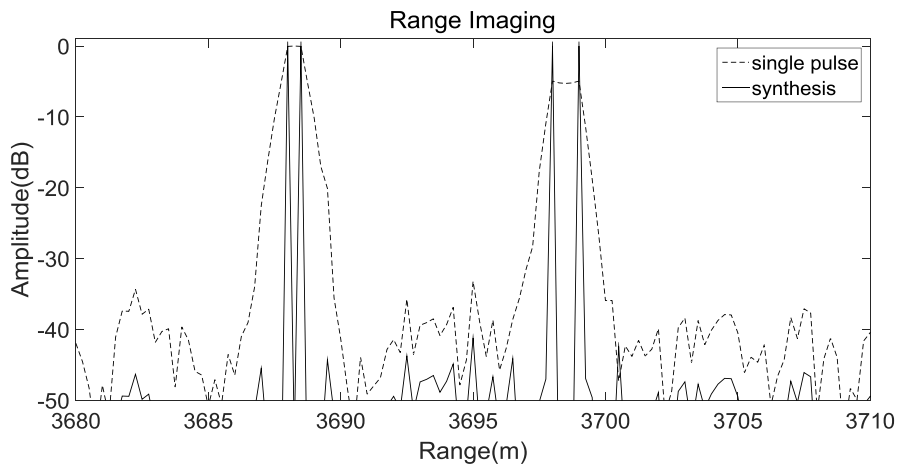
The phase induced by Doppler shift can be accurately compensated with the precise velocity estimation. Then, we can synthesize coherently wide band signal, whose bandwidth is about 2 GHz, as shown in Figure 6 and Figure 7 shows the range imaging result.

**Table 2. GSS and Results**

Search No.	1	2	3	4	5
$v_a$ (m/s)	6050.00	6234.89	624.89	6234.89	6234.89
$v_a$ (m/s)	6534.00	6534.00	6419.74	6349.13	6305.49
$\frac{\bar{R}_{peak}(x_1)}{\bar{R}_{mean}(x_2)}$	0.10507	0.95034	0.96352	0.96237	1.10257
Search No.	6	7	8	9	
$v_a$ (m/s)	6261.86	6278.52	6288.82	6295.19	
$v_a$ (m/s)	6305.49	6305.49	6305.49	6305.49	
$\frac{\bar{R}_{peak}(x_1)}{\bar{R}_{mean}(x_2)}$	1.10743	1.10463	1.09361	1.00003	



**Figure 6. Synthesized Spectrum**



**Figure 7. Range Imaging of Moving Targets**

According to table 1, the distance between the first and the second and that between the third and the fourth are all less than 1.5m, the range resolution of a single subpulse. Consequently, we cannot distinguish the first and the second targets using just a single subpulse, the same to the third and fourth. However, as for the synthesized wideband signal, whose bandwidth  $B_{syn} = 2005$  MHz, which corresponding range resolution is about 0.075m, so four targets can be clearly distinguished.

## 6. Conclusion

A novel radar waveform model based on stochastic chaotic signals is proposed, which can be used to estimate the velocity and HRR imaging of moving targets. SCS sequence is used to control the stepping of carrier frequencies and SCFM signal to be baseband noise signal.

Based on the proposed radar waveform, we present a search algorithm to velocity estimation, which consists of two steps: a coarse and a precise search. The two-stage search algorithm can ensure the estimation result converge to the true velocity. After phases compensation for all the subpulses with the accurate velocity estimation, the echoes are coherently synthesized and HRR imaging can be achieved. Simulation results demonstrate the effectiveness of the proposed model and algorithm.

## Acknowledgment

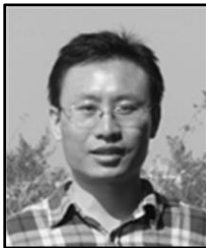
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